



Hunt Institute for Botanical Documentation
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Carnegie Mellon University
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About the Institute

The Hunt Institute for Botanical Documentation, a research division of Carnegie Mellon University, specializes in the history of botany and all aspects of plant science and serves the international scientific community through research and documentation. To this end, the Institute acquires and maintains authoritative collections of books, plant images, manuscripts, portraits and data files, and provides publications and other modes of information service. The Institute meets the reference needs of botanists, biologists, historians, conservationists, librarians, bibliographers and the public at large, especially those concerned with any aspect of the North American flora.

Hunt Institute was dedicated in 1961 as the Rachel McMasters Miller Hunt Botanical Library, an international center for bibliographical research and service in the interests of botany and horticulture, as well as a center for the study of all aspects of the history of the plant sciences. By 1971 the Library's activities had so diversified that the name was changed to Hunt Institute for Botanical Documentation. Growth in collections and research projects led to the establishment of four programmatic departments: Archives, Art, Bibliography and the Library.

(c o p y)

1074 Dawson Avenue
Long Beach, California.
September 5, 1940

Mr. T.D.Davy
North China Daily News,
P.O.Box 707
Shanghai, China.

Dear Mr. Davy,

Yesterday I sent the Maps for Dr. Rock by first class registered mail, receipt No.24201 Long Beach. Enclosed in the package are 4 maps and three pieces that are to be fitted at the bottom of each map when it is photographed for reproduction. At the base of map No.2 you will notice that the scale and references are partially drawn in - these are not to be used but the above mentioned pieces are to be fitted over this drawing in the same places. I am sure you will understand when you see the maps.

The scale is made so that the maps are to be reduced to exactly one half the present size, and to be printed in the same colors as used on the original.

Please consult Dr. Rock as to the title to be used at the top of the map. I think that it was decided upon some time ago to be NORTHWEST YUN-NAN. This could be set up in a large appropriate type and placed at the top of the map the same as the pieces are to be placed at the bottom and the whole thing photographed at the same time.

On the piece that bears the title of Compiled by J.F.Rock I did not have the name of the map or the dates that was made by Comte Ch. de Polignac. Dr. Rock will probably have this information and I think that it should be added as it does look a little incomplete. You will probably have some one there that can do it better than what I have.

There are no further instructions or suggestions that I know of in reference to the maps. I would like if it is agreeable to let me have a couple of copies of the maps when they are printed for my own reference and files.

Sincerely hoping that they arrive in good condition and that they turn off the press very satisfactory.

Yours sincerely,

(Sgd) C.E. Brownlow

IN REPLY REFER TO
FILE NO.



DEPARTMENT OF STATE

THE FOREIGN SERVICE
OF THE
UNITED STATES OF AMERICA

AMERICAN CONSULATE

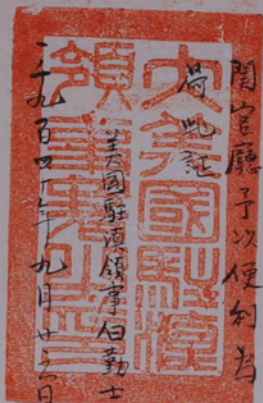
Yunnanfu, China, September 26, 1940.

TO WHOM IT MAY CONCERN:

The bearer of this document, Dr. Joseph F. Rock,
an American citizen, is proceeding to Likiang, Yunnan,
for the purpose of continuing research activity on
behalf of the Harvard-Yenching Institute. Dr. Rock is
also attached to the United States Department of Agri-
culture. Dr. Rock has resided for many years in Yunnan
Province and has previously lived in Likiang. Any
courtesies extended him will be greatly appreciated.

Toy L. Perkins

Toy L. Perkins,
American Consul.



為證明事美國人駱
約瑟博士係美國農
業部專員前往雲
南麗江代表美國哈
佛燕京學社繼續考
察工作駱博士以前
在滇者麗江等處居
住多年應請沿途有
關官廳予以便利為

IN REPLY REFER TO
FILE NO.



DEPARTMENT OF STATE

THE FOREIGN SERVICE
OF THE
UNITED STATES OF AMERICA

AMERICAN CONSULATE

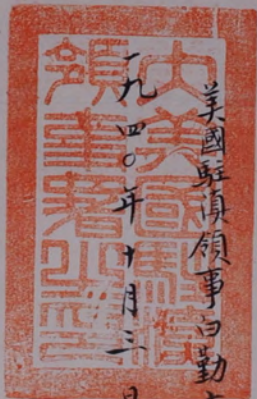
Kunming (Yunnanfu), China.
October 3, 1940.

- CERTIFICATE -

This is to certify that the accompanying eleven (11)
cases of supplies are the personal effects of Dr. Joseph
F. Rock, an American Citizen residing in Likiang, Yunnan,
who is attached to the Harvard-Yenching Institute.

Joseph P. ...
Troy C. ...
American Consul.

Digitized by Hunt Institute for Historical Documentation



為證明事住麗江外國人
駱約瑟博士係美國哈佛
燕京學社社員有自用
行李拾壹箱由昆明運
往麗江特此證明

Bill No. 759

Royal Asiatic Society of Bengal.

CALCUTTA,

3/4 1943

PURCHASED FROM THE ROYAL ASIATIC SOCIETY OF BENGAL.

Ref. :—

Forwarded by

Cash

Rs.

As.

P.

1 Copy Journal + Proc. 3rd Series;
Vol 8 Letters No. 2

8

8



TO WHOM IT MAY CONCERN

This is to certify that Dr. Joseph Francis Rock holding American passport No.648 issued at Kunming on 19th May 1942 is leaving by airplane from Likiang, Yunnan direct for India. Owing to this, it will not be possible to visa Dr. Rock's passport in the usual way. Dr. Rock has been working in Likiang on behalf of the Harvard Yenching Institute. He is known to this Consulate-General and has been recommended by the American Consulate.

All authorities are requested to regard this letter as a valid visa for single journey to India.



Consul-General.

British Consulate-General,
Kunming.

27th February 1943.

Digitized by eGangotri for British and Documentation

HARVARD-YENCHING INSTITUTE



17 Boylston Hall,
Cambridge, Massachusetts,
October 18, 1943

Dear Dr. Rock:

The trunk mentioned in your letter of May 19, 1943, arrived safely and is stored in the basement of Boylston Hall, where our office and library are located.

Thank you for the gift of the scroll and the two paintings representing Mo-so deities. I will report the gift to the Trustees at their November meeting.

Sincerely yours,

George B. Lissner

Director

Digitized by Hunt Institute for Botanical Documentation

Dr. Joseph F. Rock,
Likiang, West China.

COPY

A/CLO/31

HEADQUARTERS, 14TH U. S. AIR FORCE
Office of the Commanding General

13th December, 1943.

SUBJECT : Air Transport for Dr. I. F. ROCK.

TO : Commanding General, India China Wing, Air Transport Command
A. P. O. 885

1. I have been acquainted with Dr. I. F. Rock for more than five years during the greater part of which time he has resided in the Yunnan Province, China.
2. He is a collaborator of the U. S. Department of Agriculture and is also engaged in research on the literature of the Miao tribe in north Yunnan province on behalf of the Harvard Yenching Institute of Cambridge, Mass.
3. Dr. Rock has become an invalid, suffering from a number of incurable disorders and desires to return to the U.S. It is believed that his return by sea would result in further deterioration of his physical condition.
4. It is recommended that he be permitted to return by air by A.T.C. transport as a civilian employee of the U. S. Government and an American citizen.

C. L. CHEMHAULT
Major General, U.S.A.
Commanding.

Ledger No: 2928
Type air
Priority 2-338 UNC

COPY.

HEADQUARTERS, 14TH U. S. AIR FORCE
Office of the Commanding General

13th December, 1943.

Dr. L. F. Rock,
Likiang

Dear Dr. Rock,

Your letter dated November 17th was misdirected to me at Chungking and was received at Kuning only after considerable delay.

I am sorry to learn that you are suffering from ill health and feel that a trip to the U.S. by air is necessary. I also regret to inform you that I do not have the authority to assure you passage by air from Kuning to the U.S. However, I am enclosing a letter which may or may not be of assistance to you.

Please advise if there is anything else I can do at a later date to assist you in leaving China.

With best wishes and kindest personal regards,

Most sincerely yours,

C. L. CHENEVAULT
Major General, U.S.A.

UNIVERSITY OF HAWAII

January 17, 1944

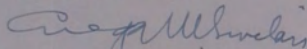
OFFICE OF THE PRESIDENT

Dr. Joseph F. Rock
c/o American Consulate General
Karachi, India

Dear Dr. Rock:

The Board of Regents of the University of Hawaii appointed you as Research Associate in our Oriental Department, and we are looking forward most eagerly to your joining our staff. There is a great deal of work to be done, and we trust that you will come to Honolulu as soon as circumstances permit, so that we may continue our researches in this great field.

Sincerely yours,



Gregg M. Sinclair
President

GMS:at

COPY/emb

REAR ECHELON
HEADQUARTERS UNITED STATES ARMY FORCES
CHINA BURMA INDIA

RHW/emb

201.(Rock, I.F.)(Civ)(24 Feb 44)
5

A.P.O. 885
24 February 1944

SUBJECT: Air Priority Transportation to U.S.

TO : Commanding General, India-China Wing, Air Transport
Command, APO 885, (ATTN: Lt.Col Boughner, Room 42-A)

1. Air priority transportation is approved for Doctor
I.F. ROCK for travel to the United States.

2. Priority to apply is: APR-2-THE-338-UNC.

3. Issue necessary instructions.

By command of Lieutenant General STILWELL:

Digitized by Hunt Institute for Botanical Documentation

COPIES TO: Lt.Brennan, ATC Priority Office, APO 885.

Dr. Rock

HEADQUARTERS
INDIA CHINA WING
AIR TRANSPORT COMMAND
STATION #1

2Q/jvh

A.P.O. # 835
23 February 1944

SUBJECT: J.F. Rock, U.S. Department of Agriculture.
TO : Commanding General, Air Transport Command, Headquarters,
Army Air Forces, Washington (25), D.C.
Attention: Chief, Intelligence and Security Division.

1. This will introduce above mentioned gentleman. It was the good fortune of this section to meet him several days ago, and he has been most helpful in giving us very valuable information about parts of western China, hitherto unknown to us.

2. His knowledge is based upon actual experiences extending over many years. At considerable effort on his part, he furnished us with a description of certain sections, peoples etc. Shortly you will receive a copy from this section.

3. Not only are we grateful for the information he has already provided, but we feel he will be willing to supply you with much more. Any courtesies which may be extended to him will be appreciated by the writer.

Robert L. Wright
ROBERT L. WRIGHT
Major, Air Corps
Wing 1 & S Officer

L-2 Car F 74
was 15 am FECKY mat 8 for wash.
Birth in name of Col. Howell

Ingram Beck

from D G Fairchild

UNITED STATES DEPARTMENT OF AGRICULTURE
BUREAU OF PLANT INDUSTRY
WASHINGTON

FOREIGN PLANT INTRODUCTION

"The Kampong"
Coconut Grove Florida
4013 Douglas Road
March 7th 1944.

Colonel Charles G. Mettler
Roosevelt Building
Miami Florida.

Dear Colonel Mettler ;

This will introduce to you a very noted Explorer ,
Dr. Joseph F. Rock who for many years explored the vast regions of Western
China and Tibet for the U.S. Department of Agriculture and the National
Geographic Society. He has also bought chinese libraries for the Congress-
ional Library in Washington. He still holds an honorary position in the
Department of Agriculture.

He has come through direct lines in Kiang on Priorities
arranged by General Stilwell and General Chenault, leaving his library and
laboratory there in Western China. His knowledge of the living conditions
and the geography of the region over which the planes have to travel there
is such that he has been asked to prepare an illustrated paper for the
Allied Forces which I should think would be of great value to them.

Unfortunately the priority on which he came into Miami
does not extend to Washington where all the negatives are with which he would
have to work and I am asking for him your help to obtain the necessary paper
with which he can fly to Washington.

I have known Dr. Rock intimately for over twenty years and
we have many plants which he collected for us in the various parts of China
which he visited as Agricultural Explorer of my Office in Washington. He made
an expedition through Burma for the Chaulmoogra tree from the seeds of which

7-111-1944
To Col.
Mottler

UNITED STATES DEPARTMENT OF AGRICULTURE
BUREAU OF PLANT INDUSTRY
WASHINGTON

FOREIGN PLANT INTRODUCTION

the oil is obtained for the treatment of Leprosy.

I know of no person so familiar with that Burma-Chinese border region as is Dr. Rock and his ability to read write and speak Chinese make him unique as a source of valuable information.

He is my guest here now but it is important that he get up to Washington as soon as possible and begin his work there.

I am sure you can help him.

You have not yet found the time to make us a visit and see the strange tropical plants growing around the Kampong and in the Fairchild Tropical Garden some of which will figure in the new Navy Film now being prepared.

With Best regards as Always

Very sincerely yours,

David Fairchild

David Fairchild

Principal Agricultural Explorer (Retired)
Collaborator of the Bureau of Plant Industry.

from H. L. Li

Academy of Natural Sciences
Philadelphia 3, Penn.
March 30, 1944.

Dear Dr. Rock,

I am very glad to learn from Dr. Walker that you are now in Washington. I hope very much that I may have a chance of seeing you since Philadelphia and Washington are not very far apart. I hope also that you can continue working on your projects on the phytogeography of western China. Personally I am much interested in the geographic distribution of plants and wish to have a chance visiting the grounds you have so admirably worked before.

I am now working on the Chinese species of *Pedicularis*. Because of the work of Bonati, the number of new species found is not large. However, so far as studied, about one out of ten or twelve species is a new one and the larger proportion of these novelties are from your collection, mostly in the ^{area} Muli Territory now belong to Szechuan Province. That must have a most interesting alpine flora. The total number of species in the genus *Pedicularis* in China probably exceeds 250, and the group

as a whole is badly in need of revision. I wonder if you have ever taken pictures of these plants, which I would appreciate very much if you can send me a few.

I am sending you under publication separate covers copies of my publications. Most of them contain some specimens from your collections. I hope you can let me have copies of yours, if they are still available, and also keep me posted of your future publications.

With best regards, I am

yours sincerely

H. L. Li

WAR DEPARTMENT
MILITARY INTELLIGENCE SERVICE
WASHINGTON

13 April 1944.

Dr. J. F. Rock,
Room 234, Burlington Hotel,
1120 Vermont Avenue, N. W.,
Washington, D. C.

Dear Dr. Rock:

I have your letter of 6 April 1944, and want to thank you for your co-operation in dealing with the maps we sent you and for your willingness to waive remuneration for your services.

Sincerely yours,

Catesby and Jones
CATESBY and C. JONES,
Colonel, U. S. A.,
Chief, Prisoner of War Branch.

Digitized by Hunt Institute for Botanical Documentation



HARVARD-YENCHING INSTITUTE



17 BOYLSTON HALL
CAMBRIDGE, MASSACHUSETTS

May 19, 1944

Dear Dr. Rock:

I am returning the two letters you sent to me.

The Chairman of the Board of Trustees and other members of the Educational Committee met with Dr. Merrill and talked over your various propositions. The members of the committee were interested, but they would like to know more about your library: the number of volumes, your evaluation of it, and whether you have a catalogue of its contents. They would also like to know what salary a year would satisfy you.

I should like to talk over many other details with you when you come here or during one of my visits to Washington, if we can arrange it.

Sincerely yours,

Serge Blissell
Director

Dr. Joseph F. Rock,
Burlington Hotel,
Vermont Avenue,
Washington, D.C.

2 enclosures

C
O
P
Y

Washington, D.C. June 15/44

Dear Dr. Elisseeff:

After duly considering the invitation by Harvard-Yenching to become a Research Associate of the Institution I beg to make the following reply.

I gladly accept the offer to become Research Associate and to bring my library on West China and Eastern Tibet to Harvard-Yenching.

Owing to my age (60½ years) and the retiring age of 66 adopted by Harvard-Yenching I would like to make the following statement.

(1) I would request a salary of \$500.00 per month, owing to the high cost of living and the heavy taxes, I being a single man without dependents.

(2) That I remain the owner of the library till I have reached the age of retirement, the library to be at Harvard-Yenching accessible to anyone qualified to use it; at my retirement the library may either be purchased by Harvard-Yenching, or on its evaluation an annuity be paid to me.

Should I die in the meantime, the library becomes the property of Harvard-Yenching Institute, without cost to the Institution, excepting inheritance tax which may be required by the government.

(3) That I be given the opportunity to carry out my project of writing the Historical Geography of West China and East Tibet.

(4) That on completion of the war the Institute will facilitate my return to China or earlier if practicable to finish the work on the Religious Literature of the Moso (Nekhi Tribe) begun by me in 1928. The results of this labor, as you know were lost in transit to this country, due to enemy action.

(5) You have stated on my enquiry that it will not be necessary for me to remain in Cambridge all the time but that I may continue my work either near Cambridge or elsewhere, and that I give reports on the progress of the work.

These I believe are all the points I would like to bring to your kind attention.

Besides the library I have in my possession 60 Volumes (large folio) of photographs and over 1000 negatives, taken during my 22 years of travel in West China and East Tibet, these will be considered as part of the library.

I have also in my possession over 1000 original Moso (Nekhi) manuscripts and several hundred photostatic copies of Moso mss. from which the translations were made and on which my manuscript on the Religious Literature of the Moso Tribe was based, also lost at sea.

These original mss. and photostats of mss. to become the property of Harvard-Yenching with the proviso that I be given an opportunity to do the work over again, commenced by me in 1928.

This would not take long, two years at the most; it would have to be done of course in Lichiang, as the old sorcerers could not come to this country.

I sincerely hope that my proposal will be acceptable.

I remain,
very sincerely yours,
(signed) Joseph F. Rock

Dr. Serge Elisseeff, Director
Harvard-Yenching Institute
Cambridge, Mass.

P.S. I am flying to Honolulu on the 21st of this month on a no. 2 priority. As I will not accept a post at the University of Hawaii I feel that I could not request the authorities to give me space to unpack my books and make a catalogue, the latter would have to be made at Cambridge.

15-U-84
To Eliseoff

I do not expect to remain longer than two weeks in Hawaii, and will return to this country shipping my entire library, etc., direct to Boston.

I would request that cost of transportation be borne by Harvard-Yenching.

I would kindly request a reply at the earliest possible preferably before my departure for Honolulu, or by cable at Honolulu, c/o Dr. H. L. Lyon, 1328 Mattock Ave.

I understand that the retirement at the age of 65-66 is by no means absolute, and that if any individual be in good condition and also be productive he may serve until he is 70 years of age. I understand that the retirements are more strictly adhered to at the 65-66 level in the teaching unit.

JFR.

15-VI-94
To Elisoff

all
shipping charges
on books only

\$839.06

not included

is A.C.S.C.
storage & handling
charges

Y
P
O
C

Washington, D.C.
June 15/44

Mr. Karl Keller
c/o Dr. E. D. Merrill
Boston, Mass.

Dear Mr. Keller:

A few days ago I came to Boston at the invitation of Dr. Elisseeff to discuss an offer made to me by the Trustees of Harvard-Yenching Institute.

Dr. Elisseeff put forward various proposals re my library and I have replied to him today, a copy of my reply please find enclosed herewith.

I think you will agree with me that under the present circumstances I feel it impossible to make a gift of my library to Harvard-Yenching Institute, but I have made a will bequeathing the library, etc. to Harvard-Yenching should I die during my Research-Association at Harvard.

I would be very happy to receive any suggestions you care to make.

Thanking you for your kindness, I remain

Very sincerely yours
(signed) J. G. Rock

Arnold Arboretum
Jamaica Plain, Mass. U.S.A.
June 30, 1944

Mr. J. F. Rock
1328 Metlock Avenue
Honolulu
T. H.

Dear Rock:

I am naturally somewhat disturbed by your letter of June 24, because frankly I do not understand the line of reasoning adopted by the Director of the E-Y Institute. As I told you previously, I have no definite information regarding the policy of the Institute, nor do I know anything about salaries paid to staff members, although I judge that in general the stipends approximate those paid in other departments of the University, perhaps more comparable with salaries paid in the separately endowed units than in the academic departments.

Clearly, your being under orders to return to Washington rather promptly, there is no possibility of your being able to prepare a catalogue of your library while in Honolulu, even if space were available. Had this been a botanical proposition and the library a botanical one, I know what I should have done had the offer been made to the Arnold Arboretum. I should certainly not have quibbled over minor details, because I should have had full confidence that the library was a particularly valuable one. Undoubtedly, there are some items in your library that will duplicate volumes already available in Cambridge. But this is a very minor matter, because, after all, duplicate volumes can be either exchanged or sold. I "bought a pig in a poke" three years ago in the form of a private horticultural library, and I acquired it merely because I offered, sight unseen, twice as much as a very prominent dealer did. My offer was made on the basis of a partial list. I found later that I had purchased a large truck load of books. One item that we placed on our shelves is currently offered for two or three times as much as I paid for the entire library. I used some of the material for special exchanges whereby we receive herbarium material in exchange for the books approximating \$750.00 in value. I sold for cash for the benefit of the Arboretum items that brought in approximately \$1200.00 more, and still have some duplicates available for sale or exchange. I knew that I was taking no chance when I made the offer. Clearly, in cases of this kind, certain things have to be taken on trust.

I am interested enough in this proposition from the standpoint of the well-being of the University as a whole, that should you decide to ship the material, I would be perfectly willing to receive the library at the Arnold Arboretum and charge the freight expenses to this institution. This with the understanding, of course, that it would be reimbursed at a later date when details shall have been worked out. Had I been Director of the E-Y Institute I should most definitely have taken this chance. Naturally, an important shipment of this kind would be covered by insurance.

In spite of rebuffs and in spite of an apparent lack of appreciation of the productive possibilities in connection with your tentative offer, I sincerely hope that you will not follow the implied route and give your library to the Chinese. It is one thing to have such a valuable accumulation as your assemblage of Chinese literature outside of China, to say nothing of the practical impossibility of securing additional copies of many items that you possess.

Sincerely yours
E. D. Merrill
E. D. Merrill

HARVARD-YENCHING INSTITUTE



17 Boylston Hall,
Cambridge, Massachusetts,
July 3, 1944

Dr. Joseph F. Rock,
1328 Matlock Avenue,
Honolulu, T.H.

Dear Dr. Rock:

I have just returned after a ten-day vacation, and I found your letter of June 24th, which reached here on the 27th.

I have tried to consult several members of the Board of Trustees of the Institute, but they are all away, as it is vacation time. I am sorry that you received the impression that I could decide the question of the purchase of your library, but every major project involving finances must have a vote of a majority of the Executive Committee of the Board of Trustees. As soon as I am able to get in contact with some of the members, which will be in about a week or ten days, I will write to you.

I know that your library is unique, but before anything definite can be decided, whether the Trustees are here or not, we shall have to know what the estimate of the value of your library is and, approximately at least, how many volumes it contains.

Sincerely yours,

Serge Elisseeff
Director

July 8, 1944.

DR. J. F. ROCK

TO

HAROLD L. LYON, DR.

For charges paid to the HONOLULU CONSTRUCTION & DRAYING COMPANY

for storage of Library and other personal belongings from

November 1, 1941 to June 30, 1944 - thirty-two months @ \$8.00 - \$256.00

Digitized by Hunt Institute for Botanical Documentation

Received payment :

Harold Lyon

ADDRESS REPLY TO
CHIEF OF ENGINEERS, U. S. ARMY
WASHINGTON, D. C.

WAR DEPARTMENT
OFFICE OF THE CHIEF OF ENGINEERS

REFER TO FILE NO. CE 201(Rock, Joseph F., Dr.) ^{WASHINGTON} ~~SPEND~~

2 August 1944

SUBJECT: Travel Orders.

TO: Dr. Joseph F. Rock, Expert Consultant
Army Map Service,
Washington, D.C.

1. Under authority of the Secretary of War dated 21 November 1941 and 24 July 1944 you are directed to proceed from your legal residence, Honolulu, T.H. to your official station, Washington, D. C. on duty in connection with Engineer Activities. Travel from Honolulu, T. H. to Washington, D.C. is directed by transport, military aircraft and/or common carrier.

2. A flat per diem of \$6.00 while in a travel status from Honolulu, T. H. to Washington, D. C. for any mode of travel, including travel via transport, in accordance with the provisions of existing law and Regulations, will be allowed.

3. The Transportation Officer at Honolulu, T. H. will provide you with the necessary transportation requests.

4. This travel is necessary to the military service and is chargeable to ESA 1942-45 508-802 P 201-02 212/50905.

By order of the Chief of Engineers:

Earl D Davis

EARL D. DAVIS,
1st Lt., Corps of Engineers,
Chief, Disb. Transp. & Vou. Section,
Office Service Branch



HEADQUARTERS FOURTEENTH AIR FORCE
A. P. O. 627, C/O POSTMASTER
NEW YORK CITY, NEW YORK

OFFICE OF THE COMMANDING GENERAL

In reply
refer to:

16 September 1944.

Dr. J. F. Rock,
Army Map Service,
APO 5706, c/o Postmaster,
San Francisco, Calif.

Dear Doctor Rock:

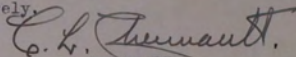
I was very glad to have your letter of July 12, which incidentally was delayed on account of incorrect addressing to APO 3627 instead of 627. It was also a pleasure to hear that you are now located with the U.S. Mapping Service where you can put to use your knowledge accumulated over many years out here, and which will be of great value to the Army.

Will keep in mind what you say about the missionaries up there in your old location; thank you for keeping us posted on them.

I, too, hope you can get back to your favorite spot in China after the war. Perhaps we can even do a little hunting somewhere in the hills, hunting something besides Japs.

With kindest personal regards and best wishes, I am

Most sincerely,


C. L. CHENNAULT,
Major General, U.S.A.

WAR DEPARTMENT NOTIFICATION OF PERSONNEL ACTION (FIELD)

1. Date: October 1, 1944

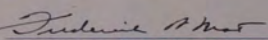
2. TO: Joseph F. Rock 3. S. S. NO. _____
First Name Middle Initial Last Name

4. Through: Chief, Cartographic Division
Office in which Employed or to be Employed

This is to notify you of the following action concerning your employment.
This action is subject to the provisions on the Reverse Hereof:

5. NATURE OF ACTION (Use standard terminology) <u>Excepted Reappointment (N.T.E. 60 days & Not to Extend Beyond June 30, 1945.</u>		6. EFFECTIVE DATE <u>October 1, 1944</u>
7. POSITION TITLE <u>Expert Consultant and Geographic Specialist</u>	(FROM)	(TO)
8. GRADE AND SALARY <u>\$25.00 per diem</u>		
9. FORCE AND SERVICE OR COMMAND <u>ASF Corps of Engineers</u>		
10. INSTALLATION & LOCATION <u>Army Map Service 6101 MacArthur Blvd. Washington, D. C.</u>		
11. ORGANIZATION UNIT <u>Map Editing</u>		
12. DUTY STATION & LOCATION		

13. REMARKS: Appointment authorized by the Office of the Secretary of War on April 20, 1944 terminated on September 30, 1944 in accordance with W. D. Civilian Personnel Circular No. 81 dated July 18, 1944.
Mr. Rock was reappointed in accordance with the 1st Ind. Office, Secretary of War dated September 18, 1944 under the authority of the provisions of Section 9 of the Military Appropriations Act of 1945.

For the Commanding Officer:

(Signature)
Frederick W. Mast, Lt. Col. C.E.
Executive Officer
(Rank and/or Title)

EMPLOYEE

WAR DEPARTMENT
NOTIFICATION OF PERSONNEL ACTION
(FORM 1)

This form notifies you of the action taken with regard to your employment. If you have any questions concerning this action you may take them up with the Civilian Personnel Office.

Appointments to positions are made for such period of time as the work is required and funds are available.

All new appointees are subject to satisfactory character investigation.

If you are entering on duty by one of the following actions, it is subject to satisfactory medical examination.

- War Service Indefinite Appointment
- War Service Indefinite Reappointment
- Reemployment List Reappointment
- Reemployment of Annuitant (Special Qualifications)

The first year of a War Service Indefinite Appointment or War Service Indefinite Reappointment constitutes a trial period, satisfactory completion of which shall be considered part of the entrance examination.

This action will neither rescind nor initiate a classified competitive Civil Service status.

[Signature]
Executive Officer

Likiang, October 14, 1944.

From Gouffart

Dear Dr. Rock,

Oh, well, I am still here and glad about it. That Secretary of ours, however, left Chengtu for Likiang on October 5th. Wonder where he is? I have been meeting daily planes for the last three days and, except Morse pere, Morse fils and Madame Stirling and ten more Peisha boys (they are now over twenty) has not met anybody yet. The Morses and Mme la Docteur Stirling are leaving for the Salween to establish a hospital in a few days. Three more important Americans are due daily.

Little Docteur Lee got married the other day with a big pomp and has now left for Kunming for good.

Your Secretary Ho is back in town. He is thrilled with jeep transportation from his village to town. Takes about half an hour. He is now copying some books for you. Your cook came and asked me to introduce him somewhere. Your Secretary asked too. So I took him yesterday to the Bank of China. They will try him for a few days. Another chance would be the new, greatly enlarged, P.O. as a postman to Peisha. Your Secretary asked me to impress on you the necessity of your writing to him from time to time reiterating that you need him, that he is your employee and that you will be back soon. Otherwise, he says, he will be in great trouble as they are pressing him now. It is only your official letters that keep them off him. He wants to study English and asked me to help. I said Yes when I am back from Chungking.

There are great changes here. The new District court and jail are open, under an English-speaking Dr. Wong just arrived. A great Electric Power Company has just been incorporated under the Mining Bank. Big generator is coming and the power will be supplied to Likiang, Hoking and Chienchwang from that big waterfall on the way to Hoking. It is a resource that our old General is going to be replaced in the very near future.

Our International Committee altogether remitted me over a million. My latest cooperatives are: Iron Mining and Smelting at Black and White Water, Paper Factory, above Baak and White Water, about 13000 feet above sea level, Printing Cooperative, big Wool Spinning and Dyeing Cooperative. The rest is going on re-capitalisation of existing cooperatives. There is a sharp improvement in the finish of our fabrics, shoes, woollen knitting yarn and socks. One new fabric - Half Fine Wool, half dark brown twisted Silk Yarn, is truly strong, light and elegant. I have made myself two suits and they look not bad at all, each from a different material. I expect more improvement after my trip to Chengtu and Chungking as I hope to bring some machinery and, maybe, technicians. This American Committee's Secretary's forthcoming visit should also bear greatly on future developments of our coops here.

I want to tell you about my trip to the Paper Factory coop. I wanted to start early but they did not come until 8 A.M. We had about eight horses. Weather was lovely but it was only a few days after those great rains. Up to Ngaba everything was fine but afterwards it was up and down through most terrible mud. We travelled well into the dusk over this dreadful trail, then lost half of the mules and had to sleep right in the forest and without bedding to boot. Those mules with bedding and food were roundly in the morning. Well, anyway, that factory is situated on the most beautiful spot I have ever seen. The view is simply unbelievable right down to the Yangtze, near Taliangshan, and up to the Gyina Lake Peak. Right in front of the factory

a pretty steep drop of 20 li down to the boiling Black and White water. Wonderful forests are all around, full of flowers and wild animals. It was like spring so many flowers were yet in bloom owing to extremely wet weather. I saw magnificent Aconites, Delphiniums, Orchids and what not. In the morning there were lovely, ringing calls of Wild Deer and pheasants. Ndzako is only 15 li from there and there is another lovely meadow called Gushiko and Yuntsoke. On the Gushiko there were many yak. I drank about twenty bowls a day of rich, sweet milk, etc curds and sour cream. Milk was about 50 dollars for over ten bowls. We had chicken, venison and potatoes. And there was so much honey too. Oh, it was heavenly there. They had many nice Berber people staying there, buying paper. I think this is the finest place near Likiang I have seen yet. A true paradise, a real private Shangri-La. They have a big, unfinished house off the factory. Now they are fixing a room for me in the corner facing the grandest view. Upon my return from Chungking I have firmly decided to stay only three weeks in town and a week there. They have a weekly horse service to town. It will be easy. and so many lovely things to eat. I brought with me cakes and cakes of finest butter I have yet tasted here.

After two days in that paradise we rolled down to Black and White water, crossed a beautiful bridge and stayed at my Iron Mining Coop. It appeared so hot here after that coldness on the top. Here we had chickens too, potatoes and lots of tomatoes and good, wonderful fruit. In the evening there came Miso, Ch'ung Chie (of the Hlamotze fam.), M'Bashi and brought these strange flutes with many bulbous attachments. They played Miso, Nakhi, Liuhi and Sifen melodies and dances. Gradually, after we had cocktails, they warmed into a dance, and took lamotze walk, and the same was repeated Extra. So I joined up too. It must have been around nine p.m. Anyway, we all remained dancing till must have been two a.m.

Oh, those four days were a true happiness! What wonderful places, what lovely food and what nice people! I am sitting now before my cupboard with books, only quite a few books had to be removed. Instead there are cakes of golden butter, urns with fragrant honey, bags with big walnuts, bowls with curds which I am now turning to cheese. I am now assured of steady supplies by weekly caravans.

I asked your Secretary Ho yesterday why you did not tell me anything about so marvellous a place? He said you were there but, at that time, weather was bad, all views were obscured by clouds and you were not impressed. I am so happy now to know these wonderful places and to be able to stay there with comfort and amongst friends. These Paper Coop people are particularly nice.

Wuhan is sending you his compliments. We are going to his place to-morrow.

If you can please do send in every letter one or two sheets of Carbon Paper. It is now unobtainable here and we feel rather lost without same.

I shall write again soon.

In the meantime I wish you a Merry Christmas and a Prosperous and Happy New Year. I am always thinking of you, especially when I visit the places I know you would love.

Yours affectionately,

P. Gallat
Peter Gallat.

WAR DEPARTMENT
ARMY MAP SERVICE
CORPS OF ENGINEERS, U. S. ARMY

ADDRESS REPLY TO
COMMANDING OFFICER
ARMY MAP SERVICE
6101 MACARTHUR BLVD.
WASHINGTON 16, D. C.



/sh

REFER TO FILE NO.

201 (Rock, Joseph F.)

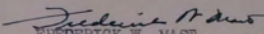
27 October 1944

Subject: Travel Orders.

To: Dr. Joseph F. Rock,
Geographic Specialist,
Army Map Service,
6101 MacArthur Blvd.,
Washington, D. C.

1. Under authority of the Secretary of War dated 21 November 1941, and of the Chief of Engineers dated 17 May 1944, you are hereby directed to proceed on or about 29 October 1944, from Washington, D. C., to Boston, Mass., on duty in connection with mapping activities. Upon completion of this temporary duty, which will require approximately 6 days, you will return to your permanent station, Washington, D. C.
2. You will be allowed a per diem of \$6.00 in lieu of actual expenses while absent from Washington, D. C.
3. This travel is necessary in the public service and all expenses incident thereto are chargeable to allotment 212/50905, 508-802 P 440-02.

By order of Colonel CHAMBERS:


FREDERICK W. MAST,
Lt. Col., Corps of Engineers,
Executive Officer.



200163



**WAR DEPARTMENT
NOTIFICATION OF PERSONNEL ACTION
(FIELD)**

1. Date: Dec. 27, 1944

2. TO: Joseph F. Rock 3. S. S. NO. _____
First Name Middle Initial Last Name

4. Through: Chief, Cartographic Division
Office in which Employed or to be Employed

This is to notify you of the following action concerning your employment.
 This action is subject to the provisions on the Reverse Hereof:

5. NATURE OF ACTION (Use standard terminology) Conversion to Temporary Appointment	6. EFFECTIVE DATE December 27, 1944
---	--

7. POSITION TITLE	(FROM) Expert Consultant and Geographic Specialist	(TO) Research Analyst
8. GRADE AND SALARY	\$25.00 per diem	P-5, \$4600 per annum
9. FORCE AND SERVICE OR COMMAND	ASF-Corps of Engineers	ASF-Corps of Engineers
10. INSTALLATION & LOCATION	Army Map Service 6101 MacArthur Blvd. Washington, D. C.	Army Map Service 6101 MacArthur Blvd. Washington, D. C.
11. ORGANIZATION UNIT	Map Editing	Map Editing
12. DUTY STATION & LOCATION		

13. REMARKS: From Excepted Appointment.
 "I hereby certify that this position has been allocated by the Classification Analyst to the grade shown above."
 Subject to Satisfactory Character Investigation.

This appointment not to exceed six months.

For the Commanding Officer:

Frederick W. Mast
(Signature)
 Frederick W. Mast Lt.Col., C.E.
 Executive Officer.
(Rank and/or Title)

EMPLOYEE

**WAR DEPARTMENT
NOTIFICATION OF PERSONNEL ACTION
(FIELD)**

1. Date: February 21, 1945

2. TO: Joseph F. Rock 3. S. S. NO. _____
First Name Middle Initial Last Name

4. Through: Chief, Cartographic Division

Office in which Employed or to be Employed

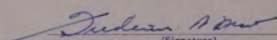
This is to notify you of the following action concerning your employment.
This action is subject to the provisions on the Reverse Hereof:

5. NATURE OF ACTION (Use standard terminology)	6. EFFECTIVE DATE
Conversion to Temporary Appointment(Correction)	Dec. 27, 1944

	(FROM)	(TO)
7. POSITION TITLE	Expert Consultant and Geographic Specialist	Research Analyst
8. GRADE AND SALARY	\$25.00 per diem	P-5, \$4600 per annum
9. FORCE AND SERVICE OR COMMAND	ASF-Corps of Engineers	same
10. INSTALLATION & LOCATION	Army Map Service 6101 MacArthur Blvd. Washington, D. C.	same
11. ORGANIZATION UNIT	Cartographic Map Research	same
12. DUTY STATION & LOCATION	Washington, D.C.	same

13. REMARKS: From Excepted Appointment.
 "I hereby certify that this position has been allocated by the Classification Analyst to the grade shown above."
 Subject to Satisfactory Character Investigation .
 This appointment not to exceed six months.
 This instrument corrects Item 11 on W.D. 50 dated December 27, 1944, which showed Unit as Map Editing.

For the Commanding Officer:


(Signature)
Frederick W. Mast Lt.Col., C.E.
Executive Officer.
(Rank and/or Title)

EMPLOYEE

WAR DEPARTMENT
REPORT OF FIELD PERSONNEL ACTION

/as

Army Map Service
6101 MacArthur Blvd., Washington, D. C.
(Station)

Coast Survey

25 April 1944

(Date)

To:

1. Name **Joseph F. Rock**
2. Nature of Action **Excepted Appointment**
3. Effective Date **25 April 1944**

9. C. S. C. REPORT SERIES

Temporary

10. CIVIL SERVICE AUTHORITY

11. Appropriation

	From	To	
4. Position		Expert Consultant and Geographic Specialist	12. Date of Birth 13 January 1884
5. Grade and/or Salary Allowances		\$25.00 per diem	13. Subj. to Ret. Act Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
6. Bureau and/or Other Unit		War-Engineers	14. If Separation, Last Paid Thru
7. Headquarters and duty Station		Army Map Service Washington, D. C.	15. Bureau Authority for Action or Position G. L. 1982 C. P. 209 26 August 1942
8. Departmental or Field	FIELD	FIELD	

Remarks:

Mr. Rock was appointed in accordance with the 1st Ind., Office, Secretary of War, dated 20 April 1944 under the authority of the provisions of Section 9 of the Military Appropriations Act of 1944 for six days per week covering a period of three months.

Copies To: (Check)

Fingerprint Chart will be submitted 28 April 1944.
Subject to Satisfactory Character Investigation.

1. District Manager—Temporary series only.
2. CSC copy attached—Permanent series only.
3. Employee
4. Civ. Pers. Field Office—Change in name of graded employee only.

By order of Colonel CHAMBERS.

16. *Frederick W. Mast*
Lt. Col. C. E.

(Signature)

Frederick W. Mast, Executive Officer.

(Other)

(Title)

WAR DEPARTMENT
 REPORT OF FIELD PERSONNEL ACTION
 Army Map Service
 6101 MacArthur Blvd., Washington, D. C.
 (Station)

*Coast & Geodetic
 Survey*

August 3, 1944

(Date)

To:

1. Name Joseph F. Rock
2. Nature of Action Excepted Appointment (Correction)
3. Effective Date April 25, 1944

9. C. S. C. REPORT SERIES

Permanent

10. CIVIL SERVICE AUTHORITY

11. Appropriation

12. Date of Birth
 January 13, 1884

13. Subj. to Ret. Act
 Yes No

14. If Separation, Last Paid Thru

15. Bureau Authority For Action or Position
 C.L. 1982
 C.P. 209
 August 26, 1942

	From	To
4. Position		Expert Consultant and Geographic Specialist
5. Grade and/or Salary Allowances		\$25.00 per diem
6. Bureau and/or Other Unit		War-Engineers
7. Headquarters and duty Station		Army Map Service Washington, D. C.
8. Departmental or Field	FIELD	FIELD

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Remarks:

Mr. Rock was appointed in accordance with the 1st Ind., Office, Secretary of War, dated April 20, 1944 under the authority of the provisions of Section 9 of the Military Appropriations Act of 1945 for intermittent service not to exceed 90 days. Travel will be allowed from Honolulu, T. H. to Washington, D. C. in accordance with the 1st Ind., Secretary of War dated July 24, 1944.

Copies To: (Check)

Fingerprint Chart will be submitted April 28, 1944.
 Subject to Satisfactory Character Investigation.

1. District Manager—Temporary series only.
2. CSC copy ~~31265~~—Permanent series only.
3. Employee
4. Civ. Pers. Field Office—Change in name of graded employee only.
5.

By order of Colonel CHAMBERS:

16. *Frederick W. Mast*
 (Signature) Lt. Col. C.E.

Frederick W. Mast, Executive Officer.
 (Title)

(Other)

WAR DEPARTMENT
NOTIFICATION OF PERSONNEL ACTION
(FIELD)

1. Date: April 12, 1945

2. TO: Joseph F. Rock 3. S. S. NO. _____
First Name Middle Initial Last Name

4. THROUGH: Chief, Cartographic Division

Office in which Employed or to be Employed

This is to notify you of the following action concerning your employment. This action is subject to the provisions on the reverse hereof:

5. NATURE OF ACTION (Use standard terminology) Resignation 6. EFFECTIVE DATE March 31, 1945

7. POSITION TITLE	(FROM)	(TO)
	Research Analyst	
8. SERVICE GRADE AND SALARY	P-5, \$4600 per annum	
9. FORCE AND SERVICE OR COMMAND	ASF, Corps of Engineers	
10. INSTALLATION & LOCATION	Army Map Service 6101 MacArthur Blvd., Washington, D. C.	
11. ORGANIZATION UNIT	Cartographic Division Cartographic Headquarters.	
12. DUTY STATION & LOCATION	Washington, D. C.	

13. REMARKS:
Reason: Termination of project.
Last day of active duty: March 31, 1945.
Annual Leave: 7 days and 4 hours - April 1 through 4 hours on April 10, 1945.

For the Commanding Officer:

T. L. Sharkey
(Signature) T. L. SHARKEY,
Chief Administrative Assistant.
(Rank and/or Title)

EMPLOYEE



UNIVERSITY OF HAWAII

P. O. Box 18, HONOLULU 10
TERRITORY OF HAWAII

OFFICE OF THE PRESIDENT

December 11, 1944

Dr. Joseph F. Rock
1601 MacArthur Blvd.
U. S. Army Map Service
Washington, D. C.

Dear Dr. Rock:

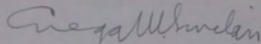
I have been meaning to write you for some time, but "things are "in the saddle," and I have not been able to write before this.

I do wish to tell you now, however, that the Board of Regents at its meeting December 7 expressed appreciation for the service you have rendered the University in times gone by, and also expressed the hope that you will return to the University sometime to accept the position of Research Associate which we offered you sometime ago.

I explained to the Board the offer made you by Harvard, but I also stated that you had assured me of your great love for Hawaii, and that it might be possible you would come here and do your great research work with us. It would certainly give me a great deal of pleasure personally to see you here.

With all good wishes,

Sincerely yours,


Gregg M. Sinclair
President

GMS:djw

HEADQUARTERS FOURTEENTH AIR FORCE
A. P. O. 627, C/O POSTMASTER
NEW YORK CITY, NEW YORK
OFFICE OF THE COMMANDING GENERAL

In reply
refer to:

14 January 1945.

Dr. J. F. Rock,
Army Map Service,
6101 MacArthur Blvd.,
Washington, D. C.

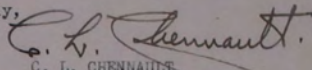
Dear Doctor Rock:

Your note of November 25 and the fine photographs taken around your former mountain home have just arrived and I hasten to thank you for them. It is easy to understand your desire to return to Likiang at some future date when you have such grandeur of scenery to look upon daily. I should like to visit the spot sometime myself.

No doubt you keep well posted on happenings out here and know that between the forces in the Pacific and ours on the mainland the Japs are being hard pressed in their attempt to hold onto and supply their far-flung "empire". Let us hope by this time next year they will have "dug in" for their final rest and our mission will have been accomplished.

With kindest personal regard and all good wishes for the year 1945, I am

Most sincerely,


C. L. CHENNAULE,
Major General, U.S.A.,
Commanding.

PS- I also meant to thank you for your Christmas greeting card which reached me during the holidays.

HARVARD-YENCHING INSTITUTE



17 BOYLSTON HALL
CAMBRIDGE, MASSACHUSETTS

April 16, 1945

Dear Dr. Rock:

At their meeting held on April 9th, the Trustees of the Harvard-Yenching Institute voted you a stipend of \$4,500 a year for five years as a Research Fellow of the Institute, to be included in the budgets for 1945-46 to 1949-50 inclusive and to be paid through the Treasurer's office, on the understanding that, at the end of each academic year, you will present a report of the work you have done during the year, and that this work will be mainly the preparation of a special study of the historical geography of Southwest China.

Your book, "History and Geography of the Ancient Na-khi (Mo-so) Kingdom" was read by several specialists, and they agreed with me that it should be edited and revised. The descriptions of the sounds should be changed, and there are some repetitions and some passages in the introduction which should be deleted. Only after these changes are made, shall I agree to have the book published by the Institute. If this arrangement is agreeable to you, I will ask a specialist to edit it and will submit the copy to you after the editing is finished.

Mr. Metcalf is very anxious to have your library moved to another place because the books are not plated and the boxes, which are still in the basement, are a fire hazard. The safest place for them is in the deposit library which is in the vicinity of the Business School, where you can go and consult them or take them for your personal use. I hope that this arrangement will be agreeable to you, and I should like to have a letter from you giving me the permission to have your books moved to the deposit library.

In the codicil which you sent me, you unfortunately forgot to mention the date of the will to which it is a codicil and the place where it was executed, and also the codicil is not dated. The phrase "In the event that I die while in the employ of Harvard University" is incorrect because, as I have written above, you will not be linked to any department of Harvard, but will be a Research Fellow of the Institute, which will give you the freedom to live where you would like. The name of the Institute is given as the "Harvard-Yenching Institute of Harvard University", which is incorrect; the Institute is a separate corporation and could be located elsewhere.

Sincerely yours,

Sergei Kisseloff
Director

Dr. Joseph F. Rock,
Burlington Hotel, Vermont Ave.,
Washington, D.C.

WAR DEPARTMENT
ARMY MAP SERVICE
CORPS OF ENGINEERS, U. S. ARMY

ADDRESS REPLY TO:
COMMANDING OFFICER
ARMY MAP SERVICE
6101 MACARTHUR BLVD.
WASHINGTON 16, D. C.

REFER TO FILE NO.

4 October 1945

Dr. J. F. Rock
Harvard Yenching Institute
17 Boylston Hall
Cambridge, Mass.

Dear Sir:

With reference to your letters dated Sept. 13, and Sept. 30, 1945 we are forwarding under separate cover, two photostat copies of the four sheets of the map of the Li Kiang which you sent to the Army Map Service. If you will kindly enter the names on one copy and return it to us we will proceed with the necessary drafting, and forward to you the reproduction materials (negatives or positive prints) which can be used by the Yenching Institute in printing the maps. The original maps you have drawn will also be returned to you after the drafting has been completed.

It is expected that the above arrangement will satisfactorily consummate any agreement made with this office.

The Army Map Service has received the box of photostat prints and Chinese 1:300,000 maps which you returned to us. We regret that you were put to the extra trouble of having them returned.

In regard to the V.K. Ting Gazetteer which Dr. Elmer Merrill was interested in obtaining, as mentioned in your letter of 8 Sept., it is suggested that Dr. Merrill write directly to the Army Map Service requesting one. These gazetteers are now available through purchase.

Sincerely Yours,

W. D. MILNE
Colonel, Corps of Engineers
Executive Officer



from Searle

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Dr. J. F. Rock,
Harvard-Yenching Institute,
17, Boylston Hall,
Cambridge, Mass., U. S. A.

400, Ferry Road,
Shanghai,
December 19, 1945.

Dear Dr. Rock,

We beg to acknowledge receipt of your letter of September 12th re the coloured and black and white plates we were making for you prior to December 8th, 1941, and the original ancient Mo-so manuscripts appertaining thereto.

Our premises were sealed by the Japanese authorities on the morning of December 8th, 1941, and eventually confiscated by the Japanese Army Press Bureau, and the undersigned interned in a Civilian Assembly Centre for the duration of hostilities.

At the time of the sealing of our premises the original Ms were locked up in our office safe.

Upon our release from the Prisoner of War Camp it was found that our plant had been removed to other premises.

After a great deal of trouble we have been able to obtain repossession of the bulk of our plant, but in the meantime our safes have all been found open and their contents rifled.

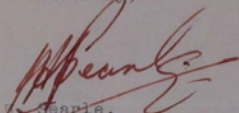
To date we have been unable to trace the whereabouts of the original Ms. or the blocks.

We are still investigating the matter but it would appear that all official files, Ms., publication stocks (we had some 75,000 volumes), and books of all description have been destroyed.

Digitized by Hunt Institute for Botanical Documentation

We will write you later when we have completed a thorough survey
of all we have been able to retrieve.

Yours sincerely,



J. Searle,
Director and Works Manager,
KELLY & WALSH, LIMITED.

HARVARD-YENCHING INSTITUTE



17 BOYLSTON HALL
CAMBRIDGE, MASSACHUSETTS

January 8, 1946

Dr. Joseph F. Rock,
c/o Arnold Arboretum,
Jamaica Plain, Massachusetts

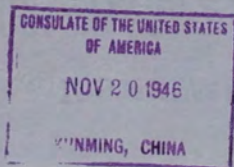
Dear Dr. Rock:

This letter is to certify that you are leaving for Yunnan, China, on a research mission for the Harvard-Yenching Institute. The research will be concerned primarily with the translation of certain manuscripts in the ancient Moso language which can only be translated on the spot because they are in pictographic writing.

You have full permission to use this letter in China or America, wherever necessary, as an expression of our hope that your research will be expedited in any way possible.

Yours very truly,

Walter B. Barham
Chairman



John D. Jenkins

駱博士台鑒敬啟者此函証明

台端前往中國雲南為哈佛燕京社擔任研究工作此項研究主要在於翻譯某種麼些文字之原稿而此種原稿因係象形文字僅能在當地翻譯

台端於必要時無論在美國或中國均可將此信作為本社希望

台端之研究工作在各種可能情形之下迅速順利進行之表示專此敬請
大安

哈佛燕京社社長童亨

廿五年
一月八日

REPUBLIC OF CHINA)
 PROVINCE OF YUNNAN)
 MUNICIPALITY OF KUNMING) as:
 CONSULATE OF THE)
 UNITED STATES OF AMERICA)

Before me, Harold C. Roser Jr., Vice Consul of the United States of America in and for the consular district of Kunming, China, duly commissioned and qualified, personally came PAO Chih-I, who, being duly sworn, deposes and says that the attached statement in Chinese is a true and correct translation of the attached letter in English to Dr. Joseph F. Rock, dated January 8, 1946, and signed by Wallace B. Donham, Chairman of the Harvard-Yenching Institute; and further deponent saith not.

Pao Chih I

Digitized by Hunt Institute for Botanical Documentation

Subscribed and sworn to before me this fourteenth day of December, A.D. 1946.

Harold C. Roser Jr.
 Harold C. Roser Jr.
 Vice Consul
 of the United States of America.



Service No. 400

今有鮑志一前來中國昆明
 美國駐滇領事署向美國駐
 滇副領事羅壽壽奉人宣誓
 所附中文譯函確係哈佛燕
 京社社長童子親筆簽名
 於一九四六年一月自政駱博士
 英文原函之正確翻譯宣誓
 誓人別無他言

一九四六年十二月十四日在本
 前奉行宣誓

美國駐滇副領事羅壽壽

HARVARD UNIVERSITY
GRADUATE SCHOOL OF BUSINESS ADMINISTRATION
GEORGE F. BAKER FOUNDATION

WALLACE B. DONHAM
*George F. Baker Professor
of Administration*

SOLDIERS FIELD
BOSTON 63, MASSACHUSETTS

January 9, 1946

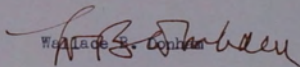
Dr. J. F. Rock
C/o Arnold Arboretum
Jamaica Plain, Mass.

Dear Rock:

I enclose a letter in duplicate which I
hope will serve your purposes. If there are any
corrections or additions you would like to have
made and will write me, Miss Fox will take care
of it while I am in Florida.

Professor Elisseeff had the feeling
that since the letter carries the official seal,
the signature should be mine.

Cordially yours,


Wallace B. Donham

Likiang, Feb. 23rd, 1946.

Dear Dr. Rock,

Thank you for your kind letter of Dec. 4th, 1945. I am glad you are well and busy with the work you like.

I am returning the four photostate copies of your letters with the other script, written underneath by my friends - the two Lama Secretaries of the King Reting Tsang (now in Chungking). One letter had certain unintelligible parts and one is a duplicate, so they did not bother to re-write it.

Mr. and Mrs. Ching are safely settled in Kunming. Their address is P.O. Box 42, Kunming, pending finding suitable lodgings. He is now Professor of Botany and Forestry at the Yunnan University. Dr. Cheng and family are now in Chungking. Another item of special interest to you would be that Shih Min - that man, to whom you gave letter of introduction to the K. of Mulli, went to Chungking, persuaded the Ministry of Education that he was the right man and, consequently, was sent back here to open a Normal School in Mulli. Three days ago he departed for Mulli with a considerable caravan, taking all sorts of unsavoury fellows with him as teachers. His "Great Prop and Cashier" is my ex-clerk Yang Foong Ming - you remember him - that insolent young fellow with the untidy mop of hair. Everybody speculates as to how this venture will work out - this is the first case of the Central Government attempting a direct foothold there.

Quite a number (about 1500) of central gray fellows descended upon the city and will stay probably for good. It is said they came to "mop up" the remnants of the former "master's" guards. Anyway, they seem to be a well-behaved lot so far but they look awful - mostly veterans of Trenchard and Burma campaigns - worn out both in body and clothing. The city is enmeshed in phone wires. All the big military bosses of the former forces have departed the city pronto. It is said that Atunze, Chungdian, Weihsai and other border places will be similarly garrisoned soon.

Transportation is slowly improving. Fares are coming down. But it is still very difficult to get seats. There are no more military planes so what I said before no longer applies. Trips to Peiping and elsewhere are quite safe. Many Americans returned to their homes in Peiping. Besides Kunming-Shanghai line, there are now Kunming-Canton and Kunming-Hanoi lines and Kunming-Hongkong line is planned. It is rumored that the Port of Haiphong, clogged with mines, may be re-opened in May/June and the Railway Hanoi-Kunming - in June/July.

Thank you for the "National Geographic". I would love to receive it and shall keep it safely for you after reading. I would be much obliged for the "Newsweek". Likiang is now absolutely cut off from all news as there are no radiosets. Also please do send a few airmail envelopes.

I do not know that Peisha boy, who is now in America, but I gave your letter to Ho Chi Hu to show to his family. Wuhan (Ho Hwang Chin) knows him too.

Old New Year holidays are still on, with dozens of invitations to dine out. I stayed two days at Wen Foong Lemasery to watch the dance, spent four days at Chiuh with my Furniture coop Minkia (very nice people) and visited many other villages. This winter has been unusually mild. There are flowers everywhere. There is no other news.

When are you coming? Are you coming via Hongkong and Shanghai?

Warmest wishes,

Affect onately yours,

P. Sullat

*Price up again - Park Hsuepi 1600 -
there is big building boom again.
Ho Tsung Tsung is teacher at Fungson or Mei-yi - he is not yet clear about it.*

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82 Buena Vista Drive
Dobbs Ferry N. Y.

March 3rd 1946

Dear Mr. Rock,

If you are in New York
before you leave for China I hope
you will come to see us. There is
so much to talk about and we
would like to hear about your books.

Our telephone is Dobbs Ferry 4087
and usually one of us is at home.

I hope you'll be happy and well
in the country you enjoy so much.

Sincerely

Allen T. Merrill

Rita will write



DEPARTMENT OF STATE
WASHINGTON

In reply refer to
Le 494.11 P.W. Rock,
Joseph F./5-2246

June 7, 1946

My dear Dr. Rock:

The receipt is acknowledged of your letter of May 22, 1946, addressed to Mr. Arthur R. Ringwalt, and of the accompanying documents, in relation to a claim for the loss of personal property shipped from Calcutta in 1944 on the S.S. Richard Hovey, which vessel is said to have been lost through enemy action, and for the loss of certain registered mail packages sent from Likiang, China, in November and December 1941 to Dr. Lyan in Honolulu, T. H.

While the Department is not yet in a position to state what procedure will be adopted in dealing with claims against the enemy or what classes of claims may be entitled to share in any funds that might become available for the settlement of claims, it is suggesting to individuals who were American nationals at the time of the occurrence of the events giving rise to a claim and who desire to file their claims with the Department, for possible future consideration, that they be prepared in accordance with the suggestions contained in the enclosed memorandum dated December 20, 1945. Careful observance of the contents of that memorandum will greatly facilitate the consideration of claims by such agency as may be established for that purpose.

Attention is particularly called to the character of evidence required to establish the American citizenship

of

Dr. Joseph F. Rock,
Care of Harvard Yenching Institute,
Cambridge, Massachusetts.

Please return

from English

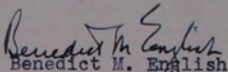
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-2-

of individuals, the desirability of submitting affidavits of claim and supporting evidence, in duplicate, and to the necessity of supporting, as far as possible, all material allegations of the claimant by evidence of a corroborative nature.

Sincerely yours,

For the Secretary of State:


Benedict M. English
Assistant to
the Legal Adviser

Enclosure:

Memorandum,
December 20, 1945.

THE NATIONAL CITY BANK OF NEW YORK, HONGKONG.

October 14, 1946.

Received from Mr. Joseph F. Rock the following Debentures:-
To be sent to Shanghai for registration in n/o Joseph F. Rock.

SHANGHAI POWER CO. FIRST MORTGAGE
DEBENTURE 5 1/2% DOLLAR SERIES DUE 1973

<u>DEBENTURE NO.</u>	<u>IN NAME OF</u>	<u>FACE VALUE - CHINESE SILVER DOLLARS</u>
R7967	GORDON VICTOR BALL	\$ 5,000-
7968	--do--	5,000-
7969	--do--	5,000-
7970	--do--	5,000-
7971	--do--	5,000-
7972	--do--	5,000-
7682	--do--	1,000-
7683	--do--	1,000-
7684	--do--	1,000-
7685	--do--	1,000-
7686	--do--	1,000-
		<u>\$35,000-</u>

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THE NATIONAL CITY BANK OF NEW YORK

[Handwritten Signature]

SUB-MANAGER

Letter from J. F. Rock

Hankow, Nov. 10/46.

My dear Walker:

Just a line to say that I am still in Hankow. I landed here Oct. 8th and have tried all possible ways to get my baggage 17 pieces (15 trunks) to Kuming. The only way is by air, but air freight to Kuming is fearfully expensive \$28.00 Hankow per kilo, about U.S. \$7.00. I have finally managed to have the C.A.T.C. make a 40% reduction and all my baggage left by plane for Kuming today. I leave next Sunday for Kuming. I paid \$12146.40 Hkg. for the baggage and \$13000.00 Dollars Hkg. or about \$3200.00 U.S. for myself nearly.

Now I must charter a plane from Kuming to Likiang. This costly business.

Hankow is beautiful just now, it is hardly damaged only the houses on the peak have been looted and burnt to the ground. Nothing remains of the botanic garden, all the trees have been cut down, the library has been burnt and all the office buildings and the keeper's residence are gone. The Herbarium is safe in Singapore.

The island has been totally denuded of its pine trees.

The food is good, meat, butter, etc., all plentiful only houses are scarce and - the Hotels all guests have to share rooms. I am fortunate in having a nice clean American with me who neither drinks nor smokes. I have had real Chinese feasts, plenty of shark fin, ducks, sucklings, pigs and all the rest. "Ginlets, Angel's Kisses" all are obtainable. Living is cheap here. I am flying to Kuning on Sunday next Nov. 14th. My servants are waiting for me having arrived from Peking.

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Prof. Chen of Sun yat-sen University who was accused of having collaborated with the Japs is here. Merrill was really instrumental in getting him out of the clutches of the Chinese Gestapo. I have not seen him, he is secluding himself and nobody knows where he is.

Christmas is here again, it does not seem so long ago ^{that} we went shopping together in Washington. Well I must say I would not mind being again with you. It will be a lonely Xmas for

me this year.

Last night at 1 a.m. my old friend Donald died of cancer of the lungs and stomach in a Hospital in Shanghai. I had hoped to see him and wrote him only ten days ago. His answer is awaiting me in Kenning.

Have you heard how my book is getting on? Clayton at the Library of Congress is making the Index. Have you seen the maps? Hankamp is the only haven in the Far East, here is law and order although robberies and holdups are common. The opium trade is a

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meadhouse so all say and everyone there wants to get of China. I bought a half a million Chinese dollars for 605 dollars Hankamp. The Chinese dollar has improved, a while ago the Hko. dollar was worth \$1000⁰⁰ Chinese, and the black-market value of the U.S. dollar has fluctuated between \$4⁶⁰ - 4⁸⁰ Hankamp.

This is no time to travel in China. The only way is by air. Bandits on all the roads. It costs over

Ten millions by truck from Canton to Kuning, and it takes over three months and it is doubtful if your baggage would get there. This sounds discouraging and I would advise you to bide your time.

Had I known how difficult and how expensive travelling nowadays is I would have stayed another year in the States. I have already spent over 5000⁰⁰ U.S. Dollars not counting the 1000 U.S. cost for the State to send. A lot of it comes out of my pocket.

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Please write me c/o American Consul Kuning. Air mail now is only 25¢ to China, so you can write often.

Wishing you all a very merry Xmas and a happy New Year and love to the children, I remain as always,

Sincerely yours,
J. F. Rock.

THE NATIONAL CITY BANK OF NEW YORK
NEW YORK, N. Y.

City Bank Farmers BRANCH

July 2, 1947

19

DEBIT ADVICE

WE DEBIT YOUR ACCOUNT AS FOLLOWS:

Domestic Draft No. 8168, dated July 2, 1947, to the order of Pao-Hsien Fang
in the amount of \$100.00, plus our commission \$.50.

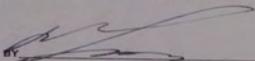
(Sent to Mr. Fang in accordance with your instructions of May
31, 1947)

TO

Dr. Joseph F. Rock (20657)
c/o Harvard-Yenching Institute of
Cambridge, Mass., USA
Likiang
Yunnan, China

\$100.50

THE NATIONAL CITY BANK OF NEW YORK

BY 

The National City Bank

of New York

(INCORPORATED WITH LIMITED LIABILITY UNDER THE NATIONAL BANK ACT OF THE UNITED STATES OF AMERICA)

AIR MAIL LETTER

CABLE ADDRESS "CITIBANK"

Hong Kong September 22, 1947

IN REPLYING PLEASE QUOTE INITIALS RPN:MQB.

Mr. Joseph F. Rock,
c/o Harvard-Yenching Institute of Cambridge, Mass,
Likiang, Yunnan,
China.

Dear Mr. Rock,

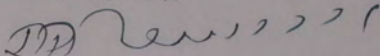
We thank you for your letter of August 19 enclosing payment order on the American Express Company which has been duly honoured by them.

As we were unable to obtain any information locally regarding your Shanghai Power debentures, we wrote to our Shanghai branch who reply as follows:-

"The Shanghai Power Company are holding dividend checks in favour of Mr. Joseph F. Rock for all interest due to him. We shall be glad if Mr. Rock will instruct the Shanghai Power Co. to mail these checks to him or to you.

"There is no official market for the Power Company debentures. We hear occasionally of sales being made at something like 150 times their face value. However, a seller in Chinese dollars usually has no means of remitting the Chinese currency abroad."

Yours very truly,



R. P. Newell,
Sub-Manager.

THE NATIONAL CITY BANK OF NEW YORK

October 23, 1947.

HONGKONG, _____

Mr. Joseph F. Rock,
c/o American Consulate General,
Kunming, China.

DEAR SIR,

OUR RECORDS INDICATE THAT ON October 23, 1947

WE HELD THE FOLLOWING SECURITIES IN SAFE

CUSTODY ON YOUR ACCOUNT

PAR VALUE OR SHARES	SECURITIES
35,000	Shanghai Power Co. First Mortgage Debentures.
	(Total thirty five thousand only)

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WE SHALL BE OBLIGED IF YOU WILL KINDLY CERTIFY THE CORRECTNESS OF THE ABOVE BY SIGNING THE ATTACHED COPY AND RETURN IT TO US AT YOUR EARLIEST CONVENIENCE.

YOURS VERY TRULY,

NOTE: THE BANK ACCEPTS NO RESPONSIBILITY FOR COLLECTION OF INTEREST, DIVIDEND AND COUPONS, PAYMENT OF CALLS OR SALES OR PURCHASE OF BONDS, SHARES, STOCKS, RIGHTS, ETC.



 PRO-MANAGER.

Peking Nov. 2/47.

Mrs. Henry Vetch
The French Bookstore
Peking.

Dear Mr. Vetch:

Your letter of July 1st addressed to the Editor in Chief of the Harvard University Press was forwarded to me and was received today. It is strange that you should accuse people of not writing to you, as you never answered any letters or even telegrams sent to you from Aug. 1941 on till the Japs took over Shanghai. I wrote you repeatedly, but received no repl.

Digitized by www.scribd.com Institute for Botanical Documentation
We have letters in file from the U.C.D. News stating that after repeated writings by you and telegraphs to you if you did not repl. in regard to the printing of the book.

I returned to the U.S. in 1944, in 1945 Harvard-Yenching agreed to publish my book (which I had practically rewritten and brought up to date) after consulting a law firm who looks after the interests of Harvard-Yenching. That law firm handed us a decision which stated that as author is not obliged to wait twelve years or so ~~for~~ ^{the} book to be published. I knew you had no intention and so ~~that~~ ^{that} the U.C.D. News, goes ahead with the printing of the book although it could have been printed. You did not give them the go ahead order. You saw the war coming and

had no intention to see the book published.

There was no way of getting in to communication with you in 1945 and on the strength of the opinion of the Harvard Lawyer (a written opinion) Harvard just went ahead with the publication. I spent many years and much money (which I wish I had) on the compilation of the book, I bought many reference books a great many of which were bought at your place.

On January 13th 1946 I wrote to you and told you of the fact that after consulting lawyers, we decided to go ahead with the publishing of the book. Especially during the war years (nobody knew how long the war would last and it was a part of botanical documentation important) the U.S. Army was very keen in information about the region and they made the maps for the book. The draftsman who went to Long Beach Calif. sent the original maps to Shanghai, they requested him, after sending him \$25.00 U.S., to have photographs or blue prints made of the maps, he did but during the early part of the war gave them to a friend of his who could not be found. I rewrote a great deal, made new res. maps from which the Army Map Service of Washington, D.C. made the maps which accompany the books.
I got not one cent ^{royalty} from Harvard. I paid out many for new photographs, etc.

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As you did not answer my letter of Jan. 13th 1946, and
 I was not a bit surprised for you answered no letters
 of mine, I knew that you had not the slightest
 interest in the book.

This is not literary ^{outrage} ^{literary} bigotry but desertion on your
 part and nothing else.

A copy of my letter to you on Jan. 13th is on file
 among my papers in Boston.

Yours truly
 J. F. Rock

R e c e i p t

RECEIVED OF Dr. Joseph F. Rock the sum of Dollars Seventy
(US\$70.00) only U.S. currency for typing the manuscript entitled "The
Sacrifice to Heaven as practiced by the Ma-khi of Likiang".- .

Signed:

P. Goullart
Peter Goullart.



Likiang, Kunnan,
December 23rd, 1947.

*Mr. J. Norman Henry
Gladwyne, Pennsylvania*

February 19, 1948.

Dear Doctor Rock:-

I felt that I wanted to write and tell you how thrilled I am with the Lily bulbs that you collected in remote places in China. Mr. Macneil sent me a nice selection from each shipment and really I hardly know how to tell you how pleased I am to have them.

Lilies are a very deep and especial interest of mine and have been for many years and I have spent much time in hunting unusual species and varieties in eastern North America.

For many years I have been hoping to obtain specimens of some of the Chinese species, such as *L. Stewartianum* and *L. papilliferum* and so I am sure you can easily guess how happy I am and how extremely fortunate I am to have these wonderful Lilies that you collected.

I do thank you immensely for the perfectly splendid accomplishment in obtaining these rare bulbs for the lily growers of the world, who will be grateful to you for all time to come.

Considering the distance they traveled, they arrived in marvelous condition and so they have an excellent chance to thrive.

When you come to America the next time, I hope very much that you will visit me at Gladwyne

and see your Lilies growing here.

With very kindest regards and many, many
thanks,

Very sincerely yours,

Mary G. Lewis

FAO

Dr. Joseph N. Rock
c/o American Consul
Likiang, China.

D. KOMINATOS

IMPORT & EXPORT

KUNMING YUNNAN CHINA

AGENCE AUTOMOBILES CHEVROLET

BY AIR MAIL
PAR AVION

Mr. Spiros Kominatos

Dire Douah

Abyssinia

(Africa)

雲南昆明郭米納洋行

D. KOMINATOS

IMPORT & EXPORT

KUNMING YUNNAN CHINA

AGENCE AUTOMOBILES CHEVROLET

ADRESSE TELEGRAPHIQUE: KOMINATOS

CODE: BENTLEY

美國雪佛蘭汽車總代理

Kuaming. 9 March 1948 19

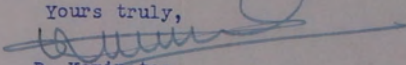
Mr. Spiros Kominatos
Dire Douah
Abyssinia.
Africa

My Dear Brother,

Dr. Rock is a very old friend of Mine who
may come to Abyssinia. **please** give to Dr. Rock
any assistance that he may require. Through Dr.
Rock, you are going to learn regarding ourselves.

Kisses from Zoe and Elefhteratos to all
of you.

Yours truly,


D. Kominatos.

Telegrams: COLUMNAE, LONDON
Telephone: CITY 4821
Cheques to be crossed: BANK OF ENGLAND

68-74 CARTER LANE
LUDGATE HILL
LONDON, E.C.4

7th June 1948

Dr J.F. Rock.
c/o Mr Andrew.
P.O. Box 634 or 643.
Gloucester Arcade.
HONG KONG.
China.

In account with
ROUTLEDGE & KEGAN PAUL LTD
PUBLISHERS

Account due and payable at 68 Carter Lane, London, E.C.4 NET

Digitized by Hunt Institute for Botanical Documentation

2 7th May Cash £ 19 16 6

- 7 JUN 1948

RECEIVED WITH THANKS.
£ 19 : 16 : 6
ROUTLEDGE AND KEGAN PAUL LTD.



Trade Terms on net books are allowed only on the understanding that they are not sold to the public for less than the published price.

THE NATIONAL CITY BANK OF NEW YORK
NEW YORK, N. Y.

City Bank Farmers BRANCH

August 18 1948

DEBIT ADVICE

WE DEBIT YOUR ACCOUNT AS FOLLOWS:

Cashier's check No. NT-32443 issued today in favor of
Mr. Pao-Hsien Fang in the amount of \$100.00 plus our
commission of \$.50 per your instructions in letter
dated July 25, 1948

TO

Dr. Joseph F. Rock (20,657)
c/o Harvard-Yenching Institute of Cambridge,
Mass., USA
Likiang, Yunnan, China

\$100.50

THE NATIONAL CITY BANK OF NEW YORK

BY

J. [Signature]

Digitized by *Hain Institute for Botanical Documentation*

FROM:-

LORD ABERCONWAY.

BODNANT,

TAL-Y-CAFN.

DENBIGHSHIRE.

23rd August, 1948.

My dear Dr. Rook,

How very good of you to send me those two packets of seed, both first-class Rhododendrons which I shall welcome all the more. All thanks to you for them.

I am greatly interested in the news of your prospective expeditions to collect Rhododendron Roekii, and to the Upper Mekong and Upper Salwin, which I hope may be most successful. It is an excellent district, and although there is nothing that I can specially name, I am always interested in seeds.

It was most pleasant seeing you in England, and I only wish that your stay had not been so brief, and that you had been able to come here.

I shall look forward to seeing more of you on your next visit.

Yours sincerely,

Aberconway

THE NATIONAL CITY BANK OF NEW YORK

HONGKONG, October, 15, 1948

Mr. Joseph F. Rock,
Likiang,
Yunnan, China.

00066

DEAR SIR,

OUR RECORDS INDICATE THAT ON October 15, 1948

WE HELD THE FOLLOWING SECURITIES IN SAFE

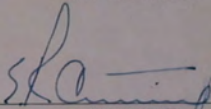
CUSTODY ON YOUR ACCOUNT

PAR. VALUE OR SHARES	SECURITIES
1,000,000.00 CN\$35,000.-- (Face value)	Shanghai Power Co. First Mortgage Debentures, 5 $\frac{1}{2}$ % Chinese \$ series, due 1973

WE SHALL BE OBLIGED IF YOU WILL KINDLY CERTIFY THE CORRECTNESS OF THE ABOVE BY SIGNING THE ATTACHED COPY AND RETURN IT TO US AT YOUR EARLIEST CONVENIENCE.

YOURS VERY TRULY,

NOTE: THE BANK ACCEPTS NO RESPONSIBILITY FOR COLLECTION OF INTEREST, DIVIDEND AND COUPONS, PAYMENT OF CALLS OR SALES OR PURCHASE OF BONDS, SHARES, STOCKS, RIGHTS, ETC.


 PRO-MANAGER.

Mrs. J. Norman Henry
Gladwyne, Pennsylvania

October 22, 1948.

Dear Doctor Rock:-

I am sorry to be slow in replying to your letters which I received in early August. There were several reasons for the long delay, one is that I have been quite sick for a good many weeks and the other reason is that having been ill and set so far back in my botanical work indoors and out I wanted to be sure I would be able to take care of the Lily bulbs if and when they come.

My definite work is with American native Lilies especially new and rare species and forms. These have to come first. I always say, however, that dearly as I love the work with American plants, I long for and need a few from the outside because after all it is not always the "big things" in life that count, often it is the "sauce".

I really would awfully like to have a few of your Lily bulbs, even though it seems as if I did have all I can possibly take care of. I am my own gardener and plant every bulb and make every soil mixture myself. I do have help but it is unskilled help.

Plants to me are like children and now that my children are grown up, the plants somehow sort of take their place in my life. I have a great love and feel a great responsibility for every Lily I have.

However, if you would be kind enough to send me a few bulbs, not over 25 or 30 at the very most, I would be more than thrilled to have them. I am enclosing you a check for 50 American dollars. Please use this both for my share in collecting them and for their transportation. If I do not feel I can send more than \$50 please do not send more bulbs than this. If your rare bulbs are all gone for this year you can keep it over for next season and I shall understand.

Dear as I love my Lilies, I do have four children and ten grandchildren and I do not feel I should spend more than \$50 at this time. If you send me not more than four or five bulbs apiece of 4 or 5 species I shall be more than satisfied and most grateful to you. The fact that I have almost all the Lilies I can take care of means that I would not be able to accept many more bulbs.

My greatest fondness is for the green flower type and that bulb which I thought might be Stewartianum I believe now is Bakerianum Delavayi. It is a most lovely thing and I am quite crazy about it. I do not know if I have Stewartianum or not. Some of the bulbs did not bloom. Wilson's description of it sounds simply marvelous to me because it carries both the colors I love so much, namely, green and the deep black-reds. Should you have any of these I would awfully like two or three.

The flower of my *L. lankongense* exactly matched the life-sized colored one and the description in *Elves' "Genus Lilium"*. Personally, I think the leaf descriptions are a bit mixed up and rather vague.

The deep red *papilliferum* I would love to have too, and if you have any to spare. Also just a few of the Trumpet Lilies which are new and you think I should have. However these are just suggestions and I will be most pleased and happy with anything you feel like sending.

I often wish I had some skilled gardeners and grow a lot of Lilies, but then I would lose the joy of taking care of them myself and after all it is just this intimacy that makes me love them.

I must stop now because although I am not yet well I am sailing for Scotland this afternoon to fill my five lecture engagements. If all goes well, I should be home by December 1st and will look forward to a letter from you at that time. Whatever you do, whether you wait until next year or whether you send me just 5 or 10 bulbs, I know your judgment is good.

Sincerely yours,

Norman J. Henry

P.S. Hoping you are well in every way.

BY AIR MAIL

AIR LETTER

IF ANYTHING IS ENCLOSED
THIS LETTER WILL BE SENT
BY ORDINARY MAIL.



Dr. J. E. Rock,

c/o Andrew Tse,

P.O. Box 634,

Gloucester Arcade,

Hong Kong,

CHINA.

Sender's name and address:-

Kegan Paul, Trench, Trubner & Co.,
43, Great Russell Street,

London, W.C.1.,

ENGLAND.

Digitized by Hunt Institute for Botanical Documentation

SCC/GN

Kegan Paul, Trench, Trubner & Co.,
43, Great Russell Street,
London, W.C.1.,
England.

Dr. J.F. Rock,
C/o Andrew Tse,
P.O. Box 634,
Gloucester Arcade,
Hong Kong,
China.

3rd November, 1948.

Dear Dr. Rock,

With reference to the enquiries you left with us when in London I can now offer you the three following books:-

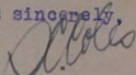
Bell, C.: Portrait of the Dalai Lama. ^{original} Cloth, coloured frontispiece, 49 illustrations, 2 maps, 1946, price 30s. *(good as new)*

Hsiao-Tung Fei and Chih-I Chang: Earthbound China. A study of rural economy in Yunnan. Revised English edition prepared in collaboration with P. Cooper and M. Park Redfield. Second impression 1947, price 21s. *new copy*

Kozlov, P.K.: Mongolia, Amdo und die Tote Stadt Chara-Choto. Die expedition der Russischen Geographischen Gesellschaft 1907-09. 1925. Price 25s. *good second hand copy*

I am not quite certain if you purchased "Earthbound China" when you were here but I will reserve all the items until I have an answer from you. I hope you will reply promptly as I have other orders for Bell's book.

Yours sincerely,



for Kegan Paul, Trench, Trubner & Co.

edited by B. Denison Ross and Mrs. Chandra Vidyaiahnsana.
 (Memoirs of the Asiatic Society of Bengal).
 2 parts newly bound in one volume red buckram. No title
 page but one of the wrappers has been bound in to serve as
 a title page. 252 pp. Price 202s.6d., postage extra.

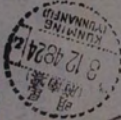
There was a tear in the first four pages of the above
 book but it has been carefully repaired.

We will reserve this book pending your air mail reply.

→ To open cut here

Sender's name and address:-

Keenan Penl, French, Teubner & Co.,
 Great Russell Street,
 LONDON, W.C.1.



BY AIR MAIL

AIR LETTER

IF ANYTHING IS ENCLOSED
 THIS LETTER WILL BE SENT
 BY ORDINARY MAIL



Dr. Joseph F. Rock,

Harvard-Yenching Institute of
 Cambridge, Mass.,

Likiang,

Yunnan,

CHINA.

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← First fold here →

→ To be cut here

SCG/GN

Kegan Paul, Trench, Trubner & Co.,
43, Great Russell Street,
London, W.C.1.,
England.

Dr. Joseph F. Rock,
Harvard-Yenching Institute of Cambridge,
Mass.,

22nd November, 1948.

Likiang,
Yunnan,
China.

Dear Dr. Rock,

Thank you for your letter of October 14th. I regret very much that you did not receive a copy of Diring: The Alphabet, particular so as it did prove to be reprinting after your visit when you ordered it. I did not know that you had been warned about this when you were here otherwise I would have worded my last letter rather differently. However, I have made a very careful record that you are to have a copy out of the first lot we receive when it is reprinted.

Thank you for your note about the library. I shall certainly look forward to receiving the typed list. We shall then be in a better position to judge what can be done about it.

I should certainly like to have some copies of your off-prints on the Sacrifice to Heaven and the price \$3.00 = 15s. seems reasonable. If you could send us six I should be very glad to have them. It would be better to send them one in a packet quoting our invoice No. 64499/35 in the top left hand margin as "SANSKRIT BOOK IN ENGLISH LANGUAGE".

I would like also a letter from you claiming the amount in the form of an invoice so that we may present this to the Customs if they should get stopped. Our licence entitles us to receive them but sometimes the Post Office Customs ask for production of the licences and invoices before they will release them.

With kind regards and trusting that you are keeping well I am,

Yours sincerely,

for Kegan Paul, Trench, Trubner & Co.

P.S. With reference to your previous enquiries we can now offer you a copy of the following book:-

Sanskrit-Tibetan-English Vocabulary: being an edition and translation of the Mahavyatpatti by Alexander Csoma de Kőrös,
P.T.O.

From the ROYAL GEOGRAPHICAL SOCIETY, KENSINGTON GORE, LONDON, S.W.7.
Kensington 5466

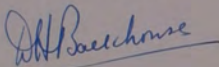
22 November 1948.

Dear Doctor Rock,

I have very much pleasure in acknowledging your letter of September 10 and also draft for £3 in payment of your annual subscription for 1949 received from Mr. Andrew Tse. Your Fellows Ticket and a copy of the current issue of the Journal have already been sent to you.

The Council will be very pleased to know that you have come safely through the war and that you are once more on the active list of the Society.

Yours sincerely,

A.H. Baelchouse

December 11th, 1948

Dear Mrs. Henry:

Thank you for your good letter of October 22nd which reached me to-day, also the enclosure, draft for U.S. \$50.00. I am sorry to learn that you have not been well but trust that when this reaches you you will have fully recovered.

I am sorry to say that all the lilies we have collected this year we have already despatched and there are no bulbs available now; had your letter come one week earlier it would have been possible to send you a nice assortment of bulbs. This year I had sent six men to the Tibetan border, one months journey to the northwest of here, to the Salwin Mekong divide, the Mekong Yangtze divide and Salwin Irrawadi divide; the result was very gratifying. I also had men out exploring the Lo-p'ing Shan, a high range to the southwest of here, there we found the true *L. myriophyllum*, a gorgeous plant, also quite a number of others. All in all we collected 31 different types of lilies. Never had the country been combed for lilies as this time. Of course this also includes the various species of *Nomocharis*. I have planted of each number several bulbs to see them in flower next year and to secure seeds of them, after that I will dig them up and will send you bulbs of such as you have indicated you want. Not until this fall have we found the true *L. Stewartianum*, it does not occur on the snow range but on a limestone range to the east of it, in a stoney pasture, there also occurred a species which I had never seen before. On the Mekong Salwin divide we found the genuine *L. primulinum* var. *ochraceum*. All this material has been sent to Dr. Vollmer and to the U.S. Dept. of Agriculture.

I have not have had a word from McNeil although I sent him seeds of a new red species of lily we discovered on the highest crags of the snow range, at 15,000 ft. As he never acknowledged the seed, I will not send him any more.

With kindest regards,

very sincerely yours,

BY AIR MAIL

AIR LETTER

IF ANYTHING IS ENCLOSED
THIS LETTER WILL BE SENT
BY ORDINARY MAIL.



Handwritten Chinese characters: 利 (Li) and 心 (Xin).

Dr. J.F. Rock,

Harvard-Yenching Institute
of Cambridge, Mass.,

Likiang,

Yunnan,

CHINA.

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Sender's name and address:-

Kegan Paul, Trench, Trubner & Co.,
43, Great Russell Street,
London, W.C.1.,
ENGLAND.



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Kegan Paul, Trench, Trubner & Co.,
43, Great Russell Street,
London, W.C.1.,
ENGLAND.

DR. J.F. ROCK,
Harvard-Yenching Institute of
Cambridge, Mass.,

Likiang,
Yunnan,
China.

13th December, 1948.

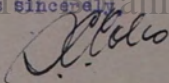
Dear Dr. Rock,

Thank you for your letter of November 26th. I note your instructions to hold the Giles Dictionary here until we hear further from you. This is quite agreeable to us.

I much regret to have given you all the trouble over the payment of the Giles Dictionary. As you may realise I get a great number of these transaction through my hands and I do not collect the details of every item in my head. I knew, of course, that you had paid for the book but did not recollect that you had paid in American dollars. In these circumstances we shall only have to prove to the Bank of England that payment has been made in dollars and we shall then receive a permit for export to wherever you may wish. Please accept my apologies for the oversight in this matter.

Trusting you are well,

Yours sincerely,



for Kegan Paul, Trench, Trubner & Co.

THE NATIONAL CITY BANK OF NEW YORK

CITY BANK FARMERS BRANCH

22 WILLIAM STREET, NEW YORK 15, N. Y.

DEC 21 1948

CREDIT ADVICE

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TODAY, SUBJECT TO THE FOLLOWING CONDITIONS:

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19

DESCRIPTION	AMOUNT
Rec'd from Albert M. Vollmer, M.D.	250.00

TITLE OF ACCOUNT

Dr. Joseph F. Rock, (20,657)
TO: c/o Harvard-Yenching Institute of Cambridge
Mass., USA
Likiang, Yunnan, China

mg

MAIL TELLER

BY

Mrs. J. Norman Henry
Gladwyne, Pennsylvania

January 17, 1949.

Dear Dr. Rock:-

I am disappointed beyond measure that my letter of October 22d did not reach you until December 11th, as I have given instructions that all my letters to China should go by Air.

Anyhow you have given me something to look forward to another year and I do think it is awfully kind of you to say that you will save some ^{Lily}bulbs for me next autumn.

I think you now know I do like the unusual and odd colored ones, such as *Lilium Stewartianum* and the deep red *L. papilliferum* and the rest I will leave to you to decide. I know that anything that you send me will please me beyond measure.

I do hope that you are entirely well now. I recollect that you had an operation last year. I have always heard that it takes about two years to recover from an operation, so I hope that you will take as good care of yourself as possible and conserve the energy to make a complete recovery.

I think the fact that you combed the country and secured 81 different types is simply unbelievable. What a perfectly marvelous job you have done! I note that you have found the rare Lily, *L. primulinum* var. *ochraceum*. If you think this would be hardy for me (I am near Philadelphia) I would love to have 3 or 4 too. However, I am going to leave the selection to you.

It is said that no smallest effort in this life is ever a loss. In the case of a plant collector,

it is, I know, sometimes years before there is the proper appreciation of his work. Nevertheless, his records of the pressed specimens as well as the living plants do come down and survive for all time.

How I hope and pray that the dreadful war clouds pass that have been hovering since World War II. The silver lining will seem all the sweeter when it does come and we flower lovers long to work with our flowers without the eternal worry of wondering if our sons are going to be butchered by an enemy. In the recent war I was a four-star mother.

I hope that you had the joy of seeing most of these beautiful Lilies in bloom.

As the postal rate in China is such a terribly high price, do not reply to this letter, and I do thank you for your nice letter of December 11th. As a matter of fact, it was one of the nicest Christmas presents I received.

With kindest regards and all best wishes for your health and happiness and success in the New Year,

Very sincerely yours,

FAC

Mary E. Henry

P.S. This spring I am planning to search for lilies in the Ozarks of Oklahoma. Last season I found them in Arkansas, altho' 2 local Ark. botanists said there were none in the state! I got 2 sp. in 3 localities.

Telephone: MUSEUM 1252.

Telegrams: "COLUMNNAE, LONDON."

Oriental, African and Foreign Department,
Agents for British Museum Publications.

43, Great Russell Street,

LONDON, W.C.1. October 18th, 1949

(Opposite the British Museum).

Dr. Joseph F. Rock,
c/o. American Express,
Genoa,
Italy.

ORDER NO. OR DATE

To Kegan Paul, Trench, Trubner & Co.

Proprietors: ROUTLEDGE and KEGAN PAUL, LTD.

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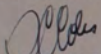
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CERTIFIED CORRECT C.D.3. KE 438849


MANAGER

Dr J. F. Rock,
c/o American Express,
Genoa, Italy

Oriental, African and Foreign Department,
43, Great Russell Street, LONDON, W.C.1.

TELEPHONE: MUSEUM 1252. TELEGRAMS: "COLUMNÆ," LONDON

Oct 25th 1949

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CREDIT ADVICE

THE NATIONAL CITY BANK OF NEW YORK

CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

SEP 30 1949

THE FOLLOWING REMITTANCES HAVE BEEN CREDITED TO YOUR ACCOUNT

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TODAY, SUBJECT TO THE FOLLOWING CONDITIONS:

In addition to and without waiving the rights secured to it by the laws of the State of New York or otherwise, the Bank, as agent, receives items for collection and/or credit. In the absence of express agreement in writing to the contrary, only upon the conditions herein stated. The Bank, without liability, may in its discretion: (a) route items by mail or otherwise, directly or indirectly, for collection to the maker, drawer, or other party, through any of its offices or correspondents for handling in accordance with their regulations and for payment in cash, bank draft or otherwise; (b) refrain from presenting, demanding, collecting or giving notice of non-payment or dishonor on any Saturday or holiday, and/or (c) refuse payment of any check or other order drawn (in whole or in part) against the proceeds of any item deposited for collection, prior to the full and final payment of such item either in cash or the equivalent of cash.

Each credited item, whether or not drawn on or payable at any office of the Bank, may be charged back at any time unless full and final payment in cash, or its equivalent, shall have been received at the office of the Bank where credited and whether or not such item is returned.

TITLE OF ACCOUNT

TO:

Dr. Joseph F. Rook (20657)
See Special Instructions

po

DESCRIPTION	AMOUNT
Rec'd from Harvard Yenching Institute	375.00

MAIL TELLER

BY

CREDIT ADVICE

THE NATIONAL CITY BANK OF NEW YORK

CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

SEP 31 1949

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MAIL TELLER

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CREDIT ADVICE

THE NATIONAL CITY BANK OF NEW YORK

CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

JAN 18 1949

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TITLE OF ACCOUNT

TO:

Dr. Joseph F. Rook, (20,657)
c/o Harvard-Yenching Institute of
Cambridge Mass., USA
Likiang, Yunnan, China

mg

DESCRIPTION	AMOUNT
Rec'd from Security-First Natl Bank of Los Angeles, West Los Angeles	100.00

MAIL TELLER

BY

THE NATIONAL CITY BANK OF NEW YORK
CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

MAR 8 1 1949

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DESCRIPTION	AMOUNT
Rec'd from Harvard-Yenching Institute, Boston	375.00

TITLE OF ACCOUNT

To: Dr. Joseph F. Rook, (20,657)
c/o Harvard-Yenching Institute of
Cambridge, Mass., USA
Likiang, Yunnan, China

mg

MAIL TELLER

BY

THE NATIONAL CITY BANK OF NEW YORK
CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

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In addition to and without waiving the rights secured to it by the laws of the State of New York or otherwise, the Bank, as agent, receives items for collection and/or credit, in the absence of express agreement in writing to the contrary, only upon the conditions herein stated. The Bank, without liability, may in its discretion: (a) route items by mail or otherwise, directly or indirectly, for collection to the maker, drawer, or other payer, through any of its offices or correspondents for handling in accordance with their regulations and for payment in cash, bank draft or otherwise; (b) refrain from presenting, demanding, collecting or giving notice of non-payment or dishonor on any Saturday or holiday, and/or (c) refuse payment of any check or other order drawn (in whole or in part) against the proceeds of any item deposited for collection, prior to the full and final payment of such item either in cash or the equivalent of cash.

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TITLE OF ACCOUNT

To: Dr. Joseph F. Rook, (20,657)
c/o Harvard-Yenching Institute of
Cambridge Mass., USA
Likiang, Yunnan, China

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MAIL TELLER

BY

THE NATIONAL CITY BANK OF NEW YORK
CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

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To: Dr. Joseph F. Rook (20,657)
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CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

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TITLE OF ACCOUNT

Dr. Joseph F. Rock (20,657)
c/o Harvard-Yenching Institute of Cambridge
Massachusetts, USA

To: Likiang, Yunnan, China

pc

AUG 2 1949

19

DESCRIPTION	AMOUNT
Mail Deposit	
Various Checks	713.00

MAIL TELLER

BY _____

THE NATIONAL CITY BANK OF NEW YORK
CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

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TITLE OF ACCOUNT

Dr. Joseph F. Rock (20,657)
See Special Instructions

To:

pc

AUG 1 1949

19

DESCRIPTION	AMOUNT
Rec'd from Harvard-Yenching Institute	375.00

MAIL TELLER

BY _____

THE NATIONAL CITY BANK OF NEW YORK
CITY BANK FARMERS BRANCH
22 WILLIAM STREET, NEW YORK 15, N. Y.

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TITLE OF ACCOUNT

Dr. Joseph F. Rock (20,657)
See Special Instructions

To:

mg

SEPT 1 1949

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DESCRIPTION	AMOUNT
Rec'd from The First Nat'l Bank of Chicago, Chicago	100.00

MAIL TELLER

BY _____

No. 176

NEW YORK

Sept. 19 19 49 26

THE NATIONAL CITY BANK OF NEW YORK 1-8

CITY BANK FARMERS BRANCH
TWENTY-THIRD WILLIAM STREET
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Received from Dr. Joseph F. Rock the sum of U.S.\$ Ninety four, and no (U.S.\$94.00)

For typing manuscript of Na-khi translations, etc.

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Dr. R. Whistler - Wuy houn g
c/o Museum für Völkerkunde
Dresden

REPUBLIC OF CHINA
PROVINCE OF YUNNAN
MUNICIPALITY OF KUNMING
CONSULATE OF THE
UNITED STATES OF AMERICA

ss:

Before me, Robert D. Yoder, Vice Consul of the United States of America in and for the consular district of Kunming, Yunnan, China, duly commissioned and qualified, personally appear Joseph F. Rock, who being duly sworn, deposes and says:

That I the undersigned herewith declare that at the end of April and beginning of May 1949 Likiang in N.W. Yunnan, where since 1946, I carried an work on behalf of Harvard-Yenching, Cambridge, Mass., was threatened by over 4,000 bandits of a communistic nature. All wires had been cut and postal service was interrupted as the bandits had dug up the motor road between Feng-yi and Tali, thus communication with the outside world became impossible.

That the Tibetans in the north were implored by the Likiang Magistrate to help defend the town against the bandits who were less than 15 miles from Likiang. The Tibetans, as much feared by the Likiang people as the bandits to the south, came but rescued only 16 Tibetans which with their guns had been captured by the bandits. The latter made an agreement with the Tibetans that if they did not fight them the bandits would not molest them either.

That I was forced to send a runner by devious ways to Tali, five days journey to the south, to send a wire to the American Consul, requesting urgently that a plane be sent to Likiang to take me and my belongings to Kunming. Under the circumstances prevailing, it was impossible for me to continue especially as my Na-Khi oto-mba with whose help I was translating Na-Khi literature had run away to his home.

That the plane arrived and brought me safely to Kunming, the cost of the charter plane coming to U.S.\$25.00. I remained in Kunming for nearly a month working on the translations, etc. While I was in Kunming I learned that the bandits had been defeated by provincial troops and the leaders were killed by their own people, their heads having been brought to the city of Hoking.

That as Likiang became once more peaceful, I decided to return, but first flew to Hongkong to buy stores and provisions for another year and a half stay in Likiang.

That on July second, I chartered another CAT plane and flew back to Likiang with new supplies, my books, etc. etc. The cost of the plane amounting to U.S. 973.75 dollars.

That on July 1st, however, Likiang was occupied by force by a communist liberation army consisting of 9,000 men. All officials were imprisoned, some executed and the mob ruled.

That on the airfield (a natural one) 12 miles north of the city, I was received by the so-called liberation army, machine guns were leveled against me, and 30 men armed with guns and bayonets forced me to open all my trunks and boxes which they searched for possible arms; not finding any they left, but after midnight a posse of 70 men led by my cook who had turned communist and who had been in my employ for 20 years, tried to storm the little farm house where I slept, telling the red soldiers that I had probably hidden arms in the hay loft where I was sleeping. They were, however, persuaded by the village people not to act like bandits and they left, but first intimidating the people of the village saying that Americans were no more allowed to live in

Likiang

Likiang and that America was the no. 1 enemy of the Chinese.

That this threat caused the people of the village to completely ignore my existence and I could get no one to help carry my baggage to the town of Likiang. My Na-Khi secretary who remained loyal to me and who was a native of the same village (Wen-hua Ts'un 文華村) called the village people together and after long palavers they agreed to take my baggage to Likiang for the sum of silver \$300.00 to the city.

That I stayed in Likiang for just one month. To carry on my work became impossible, my Na-Khi priest refused to come and work with me as he feared reprisal; it became apparent that my servants were being intimidated by the Reds; proclamations appeared that American was enemy number one; that it was prohibited to employ servants, or employ people from other villages. The tension and anxiety prevailing in Likiang made the peaceful performance of ones work impossible. Cameras and photography were prohibited. All religious exercises of whatever nature whether Lamaistic, Taoistic or Buddhistic were prohibited, all religious effigies, etc., were destroyed and ecclesiastical property confiscated. The dto-mba religion whose ancient literature it was my duty to translate, was banned. Therefore for me to remain became pointless, and I decided to leave Likiang for Kuning. I therefore requested once more that a plane come to Likiang to fetch me. I had to abandon my house, the rent for which had been paid till June 30th, 1950, all my furniture, stores and supplies I had just recently brought up by plane. It was impossible to sell anything as nobody dared to buy anything. Business in Likiang was at a complete standstill. The Miu Chia Likiang Hsien Bank in which I had a deposit of \$529.00 silver had been confiscated, the manager imprisoned, and thus I lost my funds deposited with that bank.

That the losses I incurred plus the chartering of planes all of which is being borne by myself, has cut deeply into my savings. The end is not yet. All the necessary books, original Na-khi manuscripts several thousand photostate copies of manuscripts, all will have to be taken to Hongkong by plane, the only safe communication possible. All these expenses are being borne by myself.

That I am making this affidavit to show that I tried my best to carry to a successful conclusion the work undertaken at great cost to myself but which the above described circumstances prevented me from carrying out and further deponent saith not.

Joseph F. Rock

Joseph F. Rock

Subscribed and sworn to before me this eighth day of August, A. D., 1949.

Robert D. Yoder

Robert D. Yoder
Vice Consul
of the United States of America.



AFFIDAVIT OF JOSEPH F. ROOK

I, Joseph F. Rook, being duly sworn, hereby declare that I am employed as Research Fellow by Harvard - Yenching Institute of Cambridge, Massachusetts and

That I was born in Vienna Austria, on January 13th 1884, and came to the United States in the year 1905. In 1907 I went to Honolulu, Hawaii, and there became a citizen of the United States on May 31, 1913. During the greater part of my stay in Hawaii, I was connected with the Territorial Board of Agriculture and Forestry and with the University of Hawaii, and that I was active in Boyany and Philology;

That in 1920 I left Hawaii to become Agricultural Explorer with the United States Department of Agriculture in Washington, D. C., and went to the Far East on behalf of the above department, making special journeys into the interior of Siam, India and Burma and later into the interior of western China and eastern Tibet;

That I made my headquarters in Likiang, northwest Yunnan, from which I explored eastern Tibet, Hsi-k'ang, etc., not only for the United States Department of Agriculture but also for the National Geographic Society of Washington, D. C. and the University of California;

That in 1924 after a brief sojourn in the United States, I was appointed Botanical Explorer by the Arnold Arboretum of Harvard University to direct botanical explorations in northwest China, northwest Tibet and southwest Mongolia, from which expedition I returned in 1927;

That in the same year I was requested to lead an expedition for the National Geographic Society to western China for a period of three years;

That from the time of 1922 when I began to live in China, I determined to take up my residence in that country and make it my field of study, and to return to the United States only for business reasons. Actually during that period, I returned to the United States three times for only short periods of never longer than about three weeks, and that I went back to China again almost immediately after my business was accomplished;

That during World War II I was forced to leave China for economic reasons and I returned to the United States to become Expert Consultant with the United States Army Map Service in Washington, D. C., for a little over one year. At that time, I considered my stay in the United States to be only temporary and to last only so long as the war made it impossible to carry on my work in China;

That there was a special reason why I intended to return to China. For nearly twelve years prior to the outbreak of World War II, I had been engaged upon the special project of studying and translating the religious texts of the Na-khi tribe of northwest Yunnan. When it became impossible for me to stay in Likiang for economic reasons, I gathered my material and shipped it from Calcutta on the S.S. Richard Hovey to the United States. This ship was sunk in 1944 by the Japanese and all my work was lost. I then determined to return to China to do the work over again;

That in July 1945 I was awarded a research fellowship by the Harvard-Yenching Institute of Cambridge Massachusetts, for the purpose of enabling me to resume my work on the translations of these religious texts. The fellowship was awarded for a five year period. I left for China in 1946 as soon as it was possible to travel across the Pacific. As soon as I arrived in China, I took up my residence in Likiang. There I rented a house and furnished it with my belongings. It was my purpose at that time to take up my residence in China again and to remain there in order to continue my work and studies in connection with that region. I proposed to return to the United States only occasionally for very short periods to attend to the publication of my material and for other business purposes;

That in the spring of 1948 I was forced to leave Likiang for the United States on account of illness and entered Phillips House in Boston for the purpose of a facial nerve operation,

which I returned to Likiang to continue my work and to reside there;

That after 1950, I proposed to continue my work there on my own, as I was aware that it would not be possible to finish my studies in the five years of fellowship. I intended to dispose of my large library and Tibetan art collection which I had left in the United States in the care of the Arnold Arboretum and the Peabody Museum of Harvard University, respectively. The proceeds from their sale would be enough to enable me to live for a considerable time in China;

That I continued to have this intention just so long as it was possible to live in Likiang, but in May 1949 over 4000 bandits threatened to capture Likiang, and I was forced to flee to Kunning. After a month or so in Kunning, during which time the bandits had been defeated, I returned once more to Likiang but found that on the day before my arrival the town had been taken over by local Communists led by Cantonese and Chinese Communists from Malaya. This made it practically impossible for foreigners to reside there. I therefore proceeded to Hong Kong and have since flown to Rome, Italy, to confer with Professor Guiseppe Tucci, a Tibetologist, and President of the Italian Institute of the Middle and Far East, to continue my work on my materials in collaboration with him;

That since my stay in Italy I have applied for a visa to reside in India, either at Darjeeling or Kalimpong on the Tibetan border to study the Lepcha tribe which is closely related to the Na-khi tribe living on the eastern border of Tibet, in northwestern Yunnan. I have also obtained a Chinese visa for Yunnan, valid for one year. I hope that after a residence in India for about one year, during which time I can study the above mentioned tribe, it will be possible for me to return to Likiang;

I am especially anxious to return to Likiang to continue my work, for the only people able to read the ancient pictographic texts are of my own generation, the younger generation being more interested, for unless the work is done now it will be forever lost;

That I am awaiting my visa for India, after which I will return to Asia, possibly via the United States to settle my affairs there, or direct from here, depending where the results of my work so far accomplished, will be published;

That I hope to reside once more in China, or if that is impossible to have my Na-khi priests come to Kalimpong to work there until my studies have been completed, or until it will again become possible to reside in Likiang, subject only to the necessary return trips to the United States. *This affidavit is in connection with my income tax return.*

REPUBLIC OF
PROVINCE AND TERRITORIES OF GENOA
CONSULATE GENERAL OF THE
UNITED STATES OF AMERICA

SS.

Joseph F. Rock
Joseph F. Rock

Sworn to and subscribed before me this 5th day of December, 1949

Cleo A. Noel Jr.
CLEO A. NOEL, Jr.
Vice Consul of the United
States of America

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